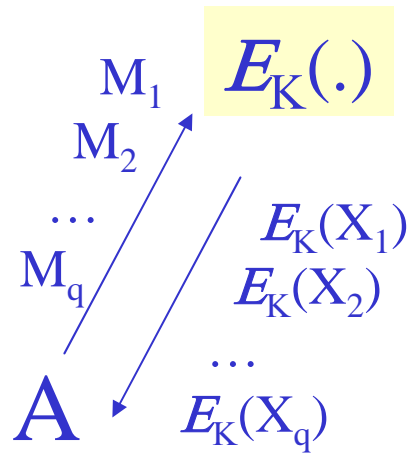


# Public key crypto (quick intro)

## Provable cryptography

Slides from Bart Preneel and Phil  
Rogaway

# CPA



support( $\mathbf{M}$ ) only has strings of one length

$$\Pi = (K, E, D)$$

sem

$$\text{Adv}_{\Pi}^{\text{sem}}(A) = \Pr [ K \xleftarrow{\$} K; (f, \mathbf{M}) \xleftarrow{\$} A^{E(K, \cdot)} (); M \xleftarrow{\$} \mathbf{M}; C \xleftarrow{\$} E_K(M): \\ A^{E(K, \cdot)}(C, f) = f(M) ] -$$

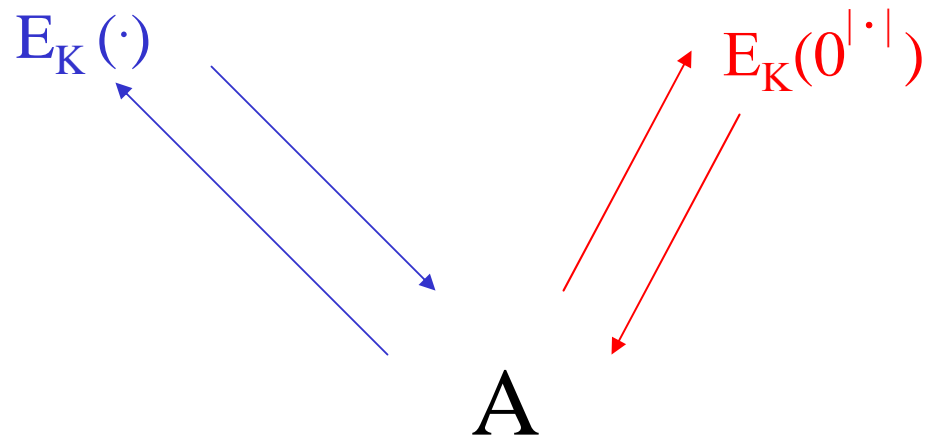
$$\Pr [ K \xleftarrow{\$} K; (f, \mathbf{M}) \xleftarrow{\$} A^{E(K, \cdot)} (); M, \mathbf{M}' \xleftarrow{\$} \mathbf{M}; C \xleftarrow{\$} E_K(\mathbf{M}'): \\ A^{E(K, \cdot)}(C, f) = f(M) ]$$

$$\Pi = (K, E, D)$$

ind

$$\text{Adv}_{\Pi}^{\text{ind}}(A) = \Pr [ K \xleftarrow{\$} K: A^{E(K, \cdot)} = 1 ] -$$

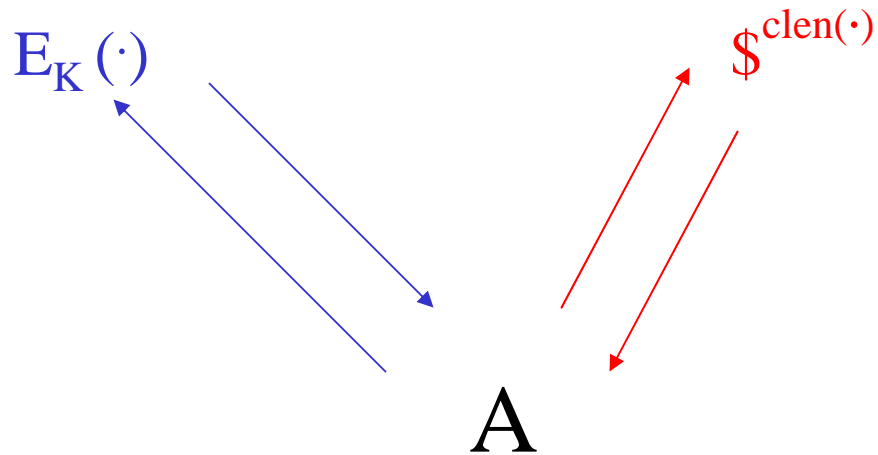
$$\Pr [ K \xleftarrow{\$} K: A^{E(K, 0^{|\cdot|})} = 1 ]$$



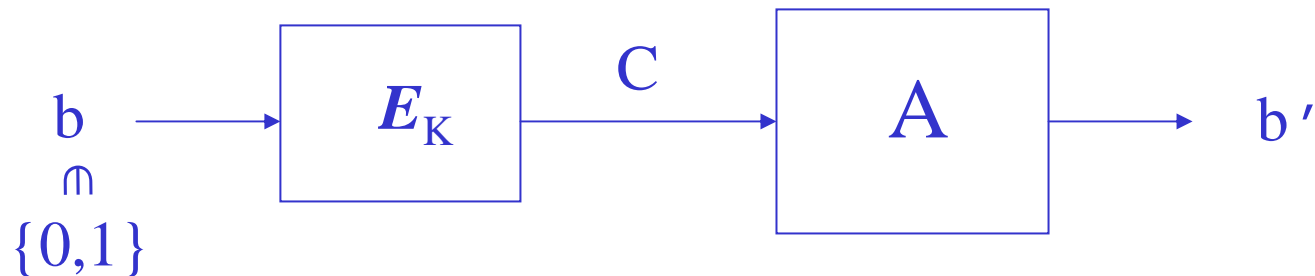
ind\$

$$\text{Adv}_{\Pi}^{\text{ind}\$}(\mathbf{A}) = \Pr [ \mathbf{K} \xleftarrow{\$} \mathbf{K}: \mathbf{A}^{E(\mathbf{K}, \cdot)} = 1 ] -$$

$$\Pr [ \mathbf{K} \xleftarrow{\$} \mathbf{K}: \mathbf{A}^{E(\mathbf{K}, \$^{\text{clen}(\cdot)})} = 1 ]$$



Consider a weak form of semantic security: can't recover the key:



$$\text{Adv}_{\Pi}^{01}(A) = 2 \Pr[b \stackrel{\$}{\leftarrow} \{0,1\}; K \stackrel{\$}{\leftarrow} \mathcal{K}; C \stackrel{\$}{\leftarrow} E_K(b): A(C) = b] - 1$$

Assume  $A$  does well at breaking  $\Pi$  in the 01-sense.

Construct  $B$  that does well at breaking  $\Pi$  in the ind-sense.

## Def of B<sup>f</sup>

Compute  $C \leftarrow f(1)$

Run A (C)

When A halts, outputting b

return b

---

$$\text{Adv}_{\Pi}^{\text{ind}}(\text{B}) = \Pr[\text{B}^{E(\text{K}, \bullet)} = 1] - \Pr[\text{B}^{E(\text{K}, 0^{|\bullet|})} = 1]$$

$$= \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(1): \text{A}(\text{C})=1] - \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(0): \text{A}(\text{C})=1]$$

$$= \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(1): \text{A}(\text{C})=1] - (1 - \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(0): \text{A}(\text{C})=0])$$

$$= \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(1): \text{A}(\text{C})=1] + \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(0): \text{A}(\text{C})=0] - 1$$

$$= 2 (\Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(1): \text{A}(\text{C})=1](0.5) + \Pr[\text{K} \xleftarrow{\$} \text{K}; \text{C} \xleftarrow{\$} \text{E}_{\text{K}}(0): \text{A}(\text{C})=0](0.5)) - 1$$

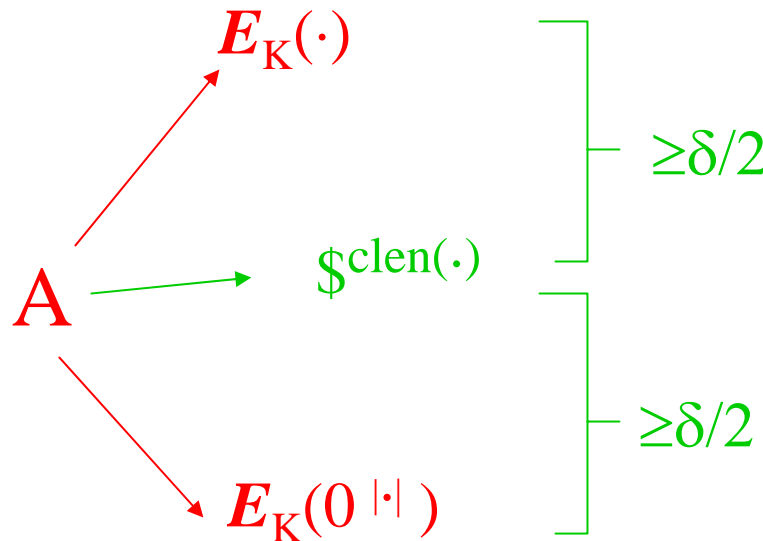
$$= 2 (\Pr[\text{A returns b} \mid \text{b}=1] \Pr[\text{b}=1] + \Pr[\text{A returns b} \mid \text{b}=0] \Pr[\text{b}=0]) - 1$$

$$= 2 \Pr[\text{A returns b}] - 1$$

$$= \text{Adv}_{\Pi}^{01}(\text{A})$$

ind\$  $\Rightarrow$  ind

Let A be an ind-adversary—think of  $\delta = \text{Adv}_{\Pi}^{\text{ind}}(A)$  as large.  
Construct B that breaks  $\Pi$  in the ind\$-sense.



“Hybrid Argument”

Case 1: Set  $B=A$ .

$$\text{Adv}_{\Pi}^{\text{ind\$}}(B) \geq \delta/2$$

Case 2: Adv B<sup>f</sup> behaves as follows:

Run A

When A asks its oracle  $x$ ,  
Ask  $f(0^{|x|})$  and return it to A.

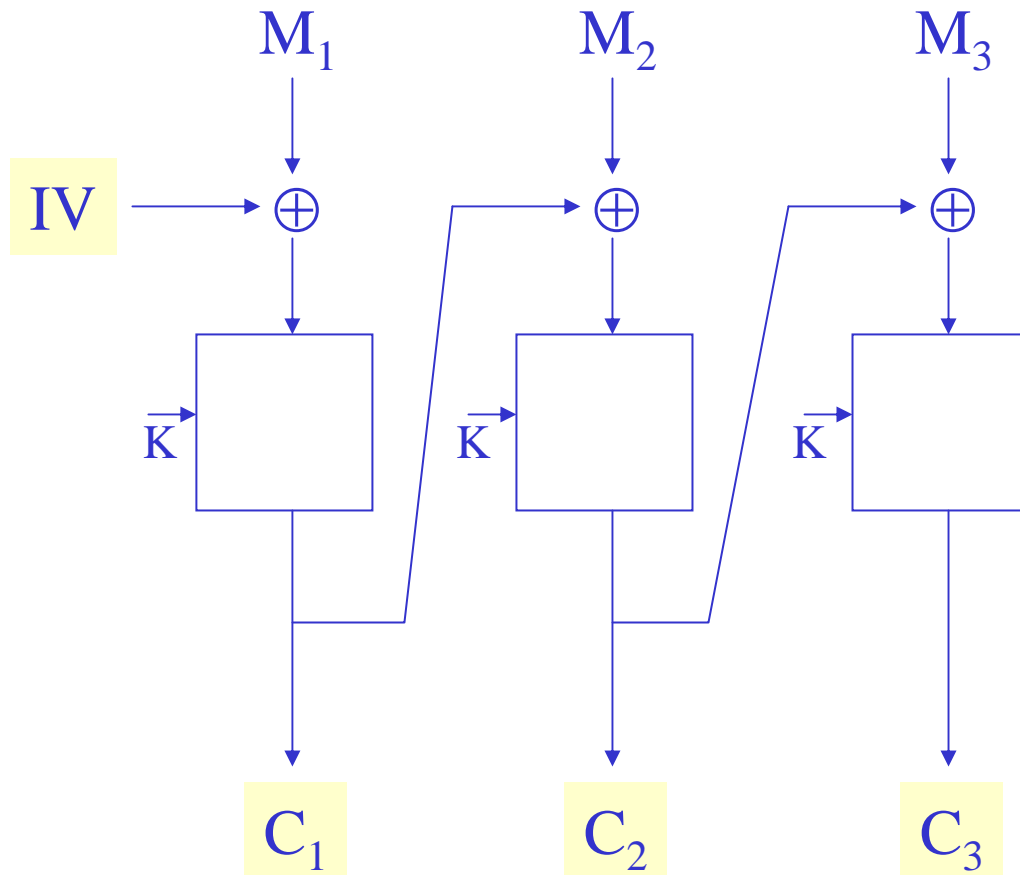
When A outputs a bit  $b$ ,  
return  $1-b$

$$\text{Adv}_{\Pi}^{\text{ind}\$} (t, q) \leq 2 \text{Adv}_{\Pi}^{\text{ind}} (t + \text{tiny}, \mu)$$

$$\text{tiny} = O(\mu)$$

Suppose  $\exists$  an adv  $A$  that runs in time  $t$  and asks queries totaling  $\mu$  bits and breaks  $\Pi$  in the ind-sense with advantage  $\delta$ . Then  $\exists$  an adv  $B$  that runs in time  $t + O(\mu)$  and asks queries totaling  $\mu$  bits and breaks  $\Pi$  in the ind $\$$ -sense with advantage  $\geq \delta/2$





~~CBC-zero~~

~~CBC-ctr~~

~~CBC-chain~~

CBC-encctr

CBC-rand

## violating ind

### CBC-zero ( $IV = 0$ )

Ask  $0^n \rightarrow C_1$

Ask  $1^n \rightarrow C_2$

if  $C_1 = C_2$  then return 0 else return 1

### CBC-ctr ( $IV_i = i$ )

Ask  $0^n \rightarrow C_1$

Ask  $0^{n-1} 1 \rightarrow C_2$

if  $C_1 = C_2$  then return 1 else return 0

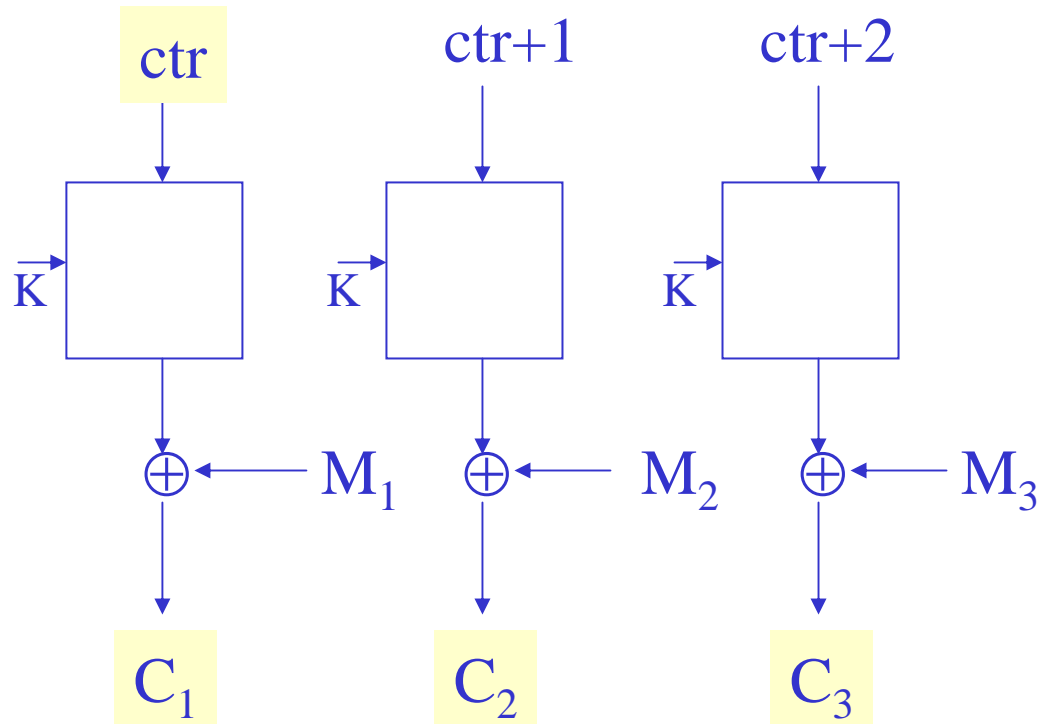
### CBC-chain ( $IV_i = \text{last block of ciphertext}$ )

Ask  $0^n \rightarrow IV_1 \ C_1$

Ask  $C_1 \rightarrow IV_2 \ C_2$

Ask  $C_2 \rightarrow IV_3 \ C_3$

if  $C_2 = C_3$  then return 1 else return 0



CTR-ctr

CBC-rand

## Proof outline (from *Goldwasser and Bellare*, chapter 6)

- We know that one-time-pad is secure
- Replace block-cipher with *random* function (R)
- $R(i++) = \text{one-time-pad}$
- Shannon proved that “idealized” counter mode give any attacker zero advantage
- Construct difference between ideal and actual protocol (ind\$)
- Assume adversary A can distinguish ideal and actual protocol
- Prove that adversary B could use A to distinguish the block cipher from PRF
- Therefore, assuming any B should have low advantage (strong cipher), then
- *Any* A therefore has a low advantage

Claim: CTR-rand is secure if its block cipher is a good PRP:  
Let A be an adv attacking CTR[E]. Construct B that attacks E.

Adversary  $B^f$  behaves as follows:

Run A.

When A asks its oracle to encrypt  $M=M_1 \cdots M_m$

$\text{ctr} \leftarrow \{0,1\}$

compute  $\text{pad} = f(\text{ctr}) f(\text{ctr}+1) \dots f(\text{ctr}+m-1)$

return to A  $(\text{ctr}, \text{pad} \oplus M)$

When A halts, outputting a bit b,

return b

$$\begin{aligned}
\text{Adv}_E^{\text{prp}}(\mathbf{B}) &= \Pr[\mathbf{B}^{\text{EK}}=1] - \Pr[\mathbf{B}^\pi = 1] \\
&\geq \Pr[\mathbf{B}^{\text{EK}}=1] - \Pr[\mathbf{B}^\rho = 1] - \sigma^2 / 2^{n+1} \quad (\text{switching lemma}) \\
&= \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1] - \Pr[\mathbf{A}^{\text{CTR}[\rho]}=1] - \sigma^2 / 2^{n+1}
\end{aligned}$$

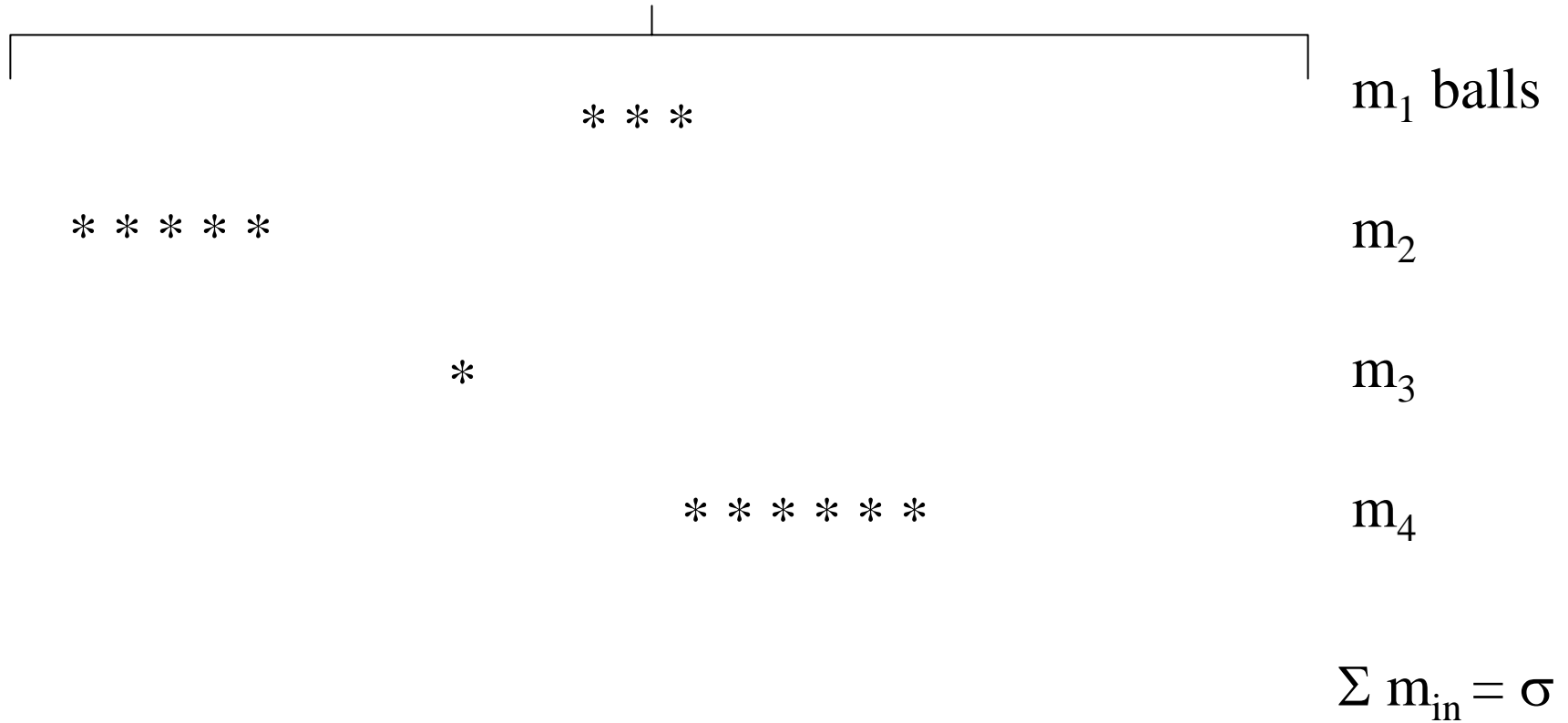
Let  $C$  be the event of a collision in the inputs to the blockcipher

$$\begin{aligned}
&= \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1] - \Pr[\mathbf{A}^{\text{CTR}[\rho]}=1 \mid \bar{C}] \Pr[\bar{C}] \\
&\quad - \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1 \mid C] \Pr[C] - \sigma^2 / 2^{n+1} \\
&= \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1] - \Pr[\mathbf{A}^\$ = 1] (1 - \Pr[C]) \\
&\quad - \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1 \mid C] \Pr[C] - \sigma^2 / 2^{n+1} \\
&= \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1] - \Pr[\mathbf{A}^\$ = 1] + \Pr[C] \Pr[\mathbf{A}^\$=1] \\
&\quad - \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1 \mid C] \Pr[C] - \sigma^2 / 2^{n+1} \\
&\geq \Pr[\mathbf{A}^{\text{CTR}[\text{EK}]}=1] - \Pr[\mathbf{A}^\$ = 1] - \Pr[C] - \sigma^2 / 2^{n+1} \\
&= \text{Adv}_{\text{CTR}[\$][E]}^{\text{ind}\$} - \Pr[C] - \sigma^2 / 2^{n+1}
\end{aligned}$$

The problem is now an information theoretic one. Claim  $\Pr[C] \leq \sigma^2 / 2^{n+1}$  (see next slide). We then have

$$\geq \text{Adv}_{\text{CTR}[\$][E]}^{\text{ind}\$} - \sigma^2 / 2^n$$

$$N = 2^n \text{ bins}$$



Adversary wants to create a collision.

Best way to do this is to toss one ball at a time.

$$\begin{aligned} \Pr[C] &\leq 1/N + 2/N + \dots + (\sigma-1)/N \\ &\leq \sigma^2/2N \end{aligned}$$

Th. Let  $E: K \times \{0,1\}^n \rightarrow \{0,1\}^n$ .

Let  $A$  attack  $\text{CBC}[E]$ . Assume  $A$  runs in time  $t_A$  and asks  $\sigma$  total blocks and achieves advantage  $\delta_A = \text{Adv}_{\text{CBC}[E]}^{\text{ind\$}}(A)$ .

Then an adv  $B$  that attacks  $E$  and runs in time at most  $t_B$  and asks at most  $q_B$  queries and achieves advantage at least  $\delta_B = \text{Adv}_E^{\text{prp}}(B)$  where

$$t_B = t_A + O(\sigma)$$

$$q_B = \sigma$$

$$\delta_B = \delta_A - \sigma^2 / 2^n$$



## Def of $B^f$

Run A

When A asks its oracle  $M=M_1 \cdots M_m$

Choose  $IV \leftarrow C_0 \xleftarrow{\$} \{0,1\}^n$

for  $i \leftarrow 1$  to  $m$  do  $C_i \leftarrow f(C_{i-1} \oplus M_i)$

return to A  $(IV, C_1 \cdots C_m)$

When A outputs a bit,  $b$ ,

return  $b$

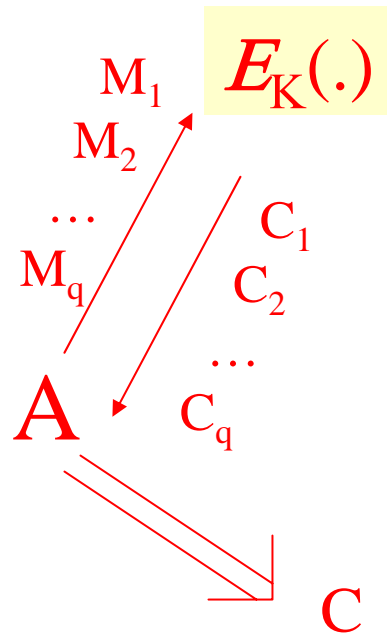
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$$\begin{aligned}
 & \Pr[A^{\text{CBC}[\pi]} = 1] \\
 & \parallel \\
 \text{Adv}_E^{\text{prp}}(B) &= \Pr[B^{\text{EK}} = 1] - \Pr[B^\pi = 1] \\
 & \parallel \\
 \text{Adv}_{\text{CBC[E]}}^{\text{ind\$}}(A) &= \Pr[A^{\text{CBC}_E} = 1] - \Pr[A^\$ = 1]
 \end{aligned}$$

$$\begin{aligned}
 \text{Adv}_{\text{CBC[E]}}^{\text{ind\$}}(A) - \text{Adv}_E^{\text{prp}}(B) &= \Pr[B^\pi = 1] - \Pr[A^\$ = 1] \\
 &= \Pr[A^{\text{CBC}[\pi]} = 1] - \Pr[A^\$ = 1] \\
 &= \Pr[A^{\text{CBC}[\rho]} = 1] - \Pr[A^\$ = 1] + \sigma^2/2^{n+1}
 \end{aligned}$$

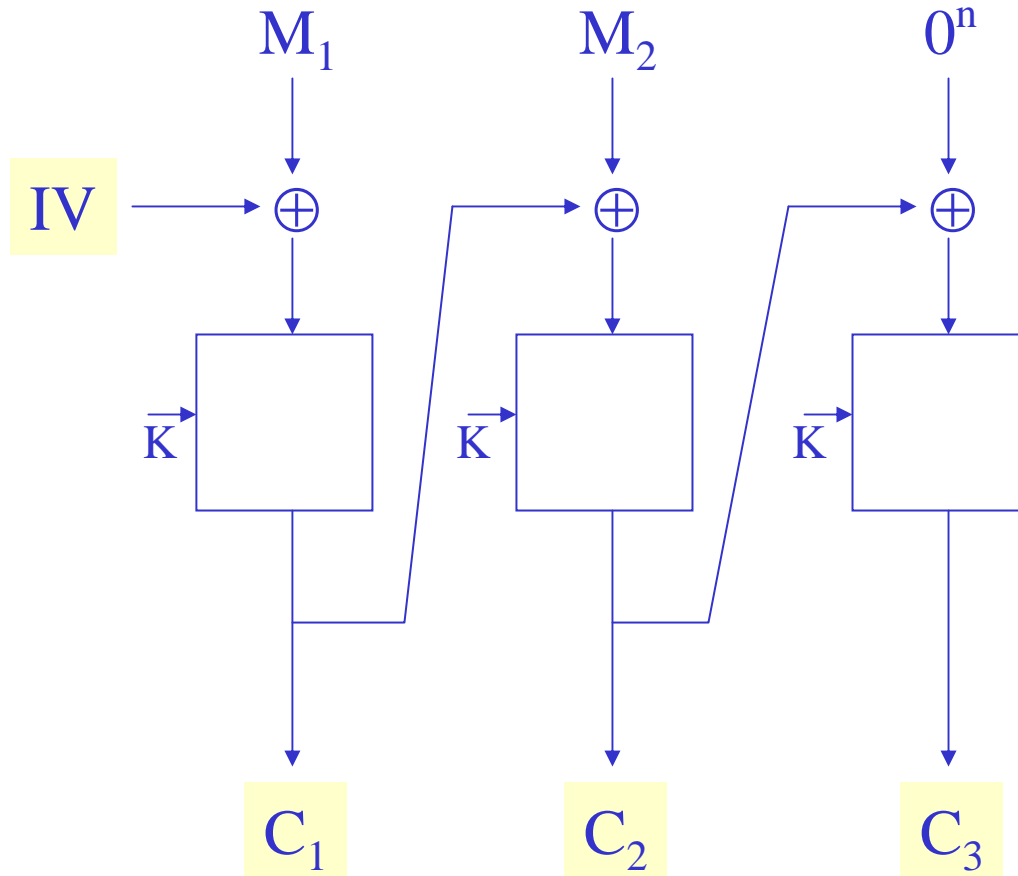
Now a purely inf theoretic question. “Game-playing” to  
 Show first difference at most  $\sigma^2 / 2^{n+1}$

# Authenticity



A “wins”  
if  $C \notin \{C_1, \dots, C_q\}$   
and  
 $D_K(C) \neq *$

# “Encrypt-with-redundancy”



Attack:

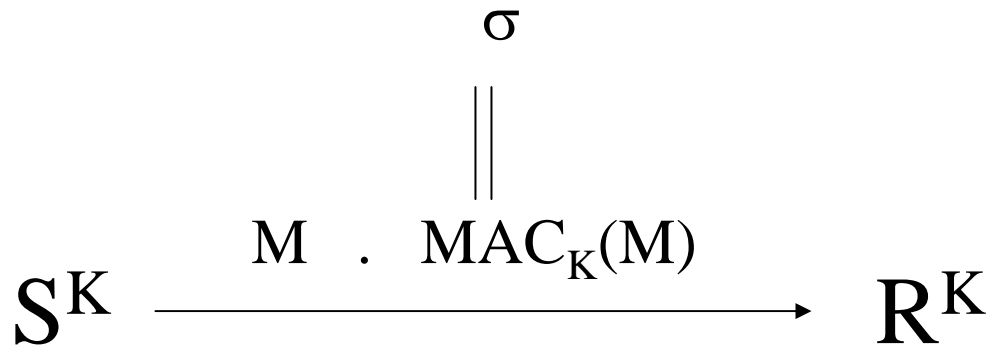
Ask  $00 \rightarrow IV C_1 C_2 C_3$

Forge

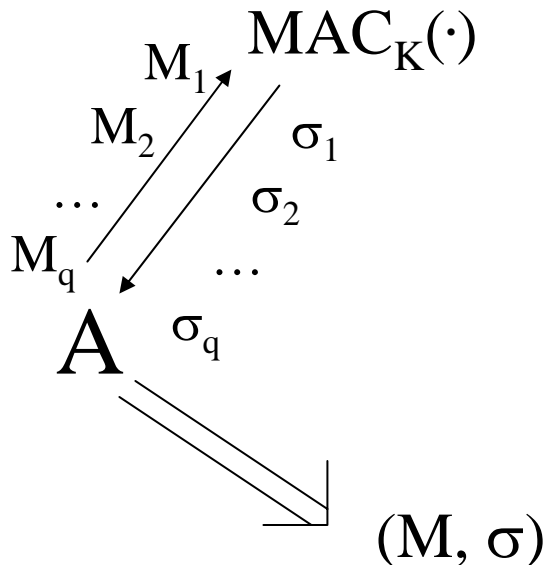
$IV C_1 C_2$

MAC “Message Auth. Code”

$\text{MAC}_K(M)$

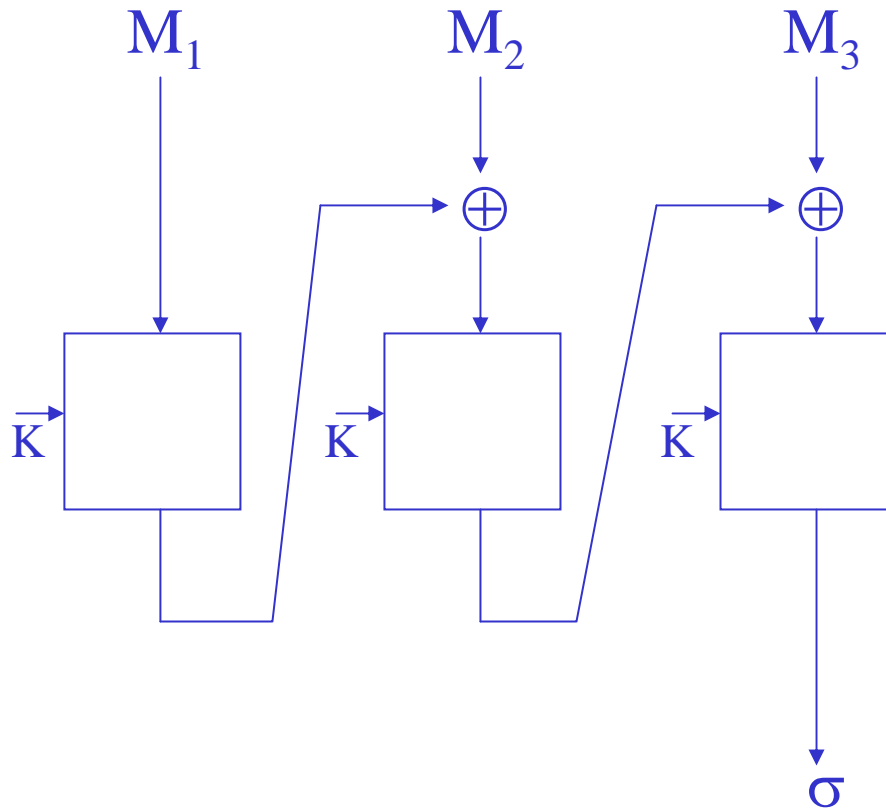


Compute  $\sigma' = \text{MAC}_K(M)$   
 Check if  $\sigma = \sigma'$



**A wins** if  $\sigma = \text{MAC}_K(M)$  and  $M \notin \{M_1, \dots, M_q\}$   
 “A forgery”

$$\text{Adv}_{\Pi}^{\text{mac}}(A) = \Pr[K \xleftarrow{\$} \mathbf{K}: A^{\text{MAC}_K(\cdot)} \text{ forges}]$$



## CBC MAC

To forge:

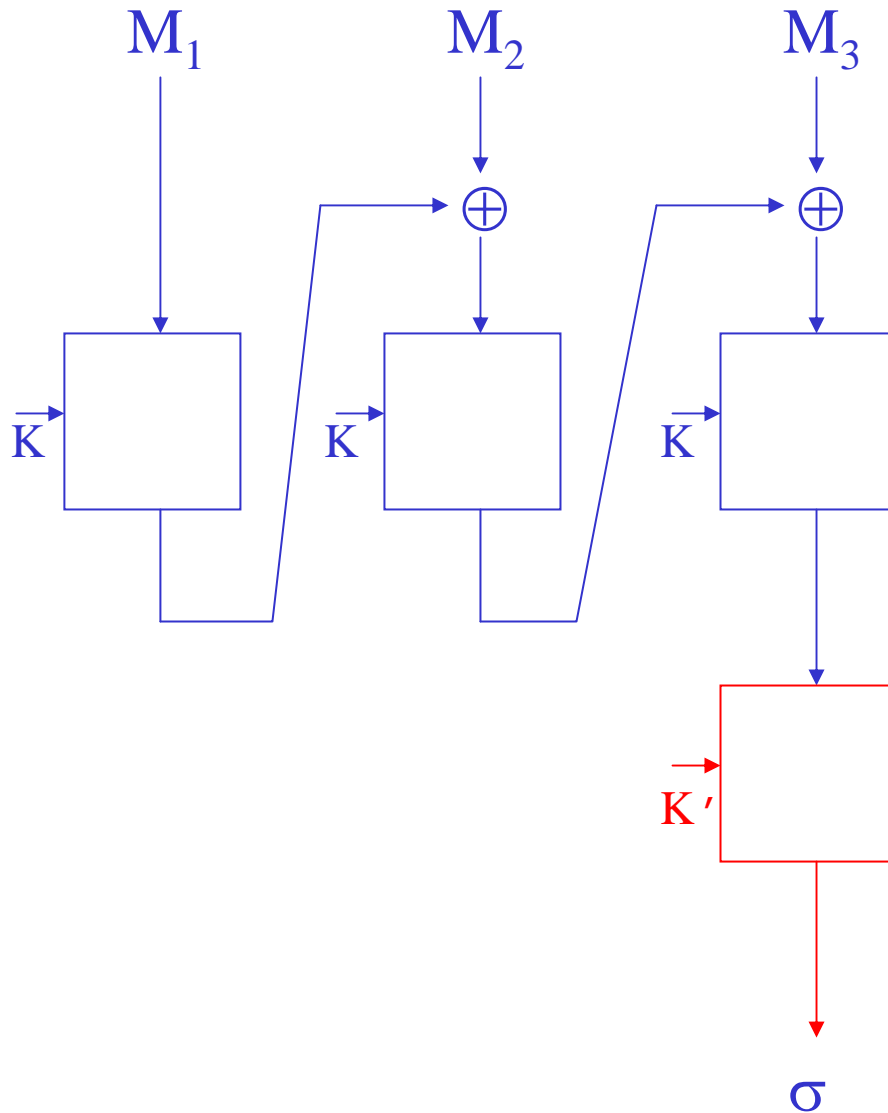
Ask  $\mathbf{0} \rightarrow \sigma_1$

Forge

$(\mathbf{0}, \sigma, \sigma)$

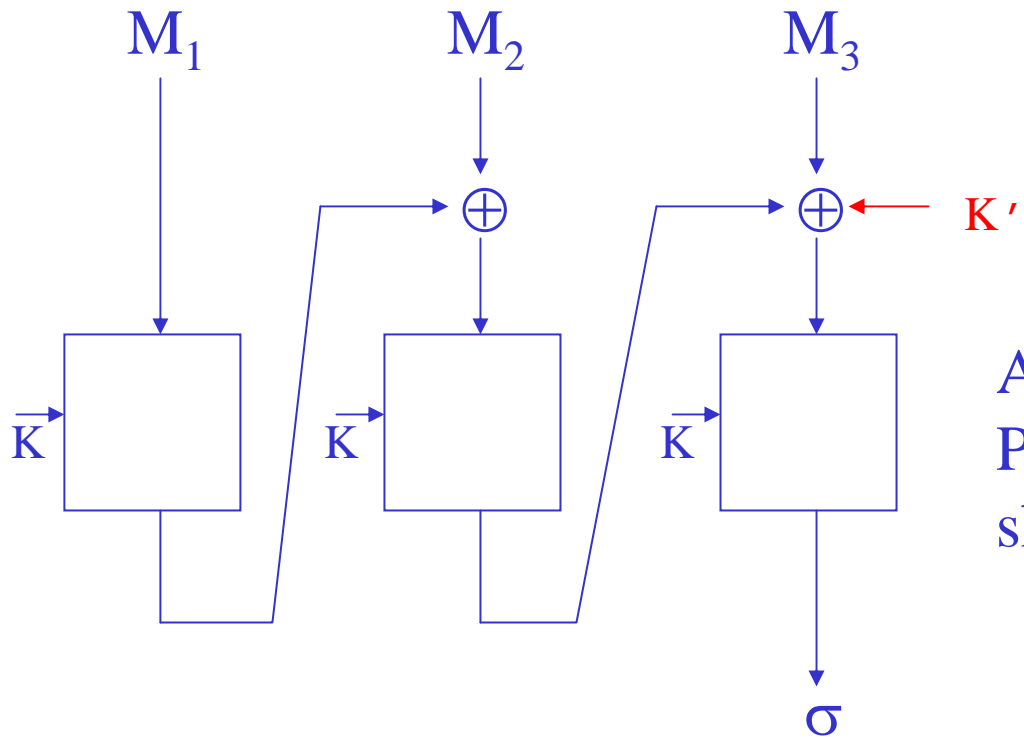
The CBC MAC is  
Incorrect across msgs of  
Varying lengths.

[BKR] Correct, with bound  $3\sigma^2/2^n$  for msgs of some  
one fixed length.



Fixing the CBC MAC

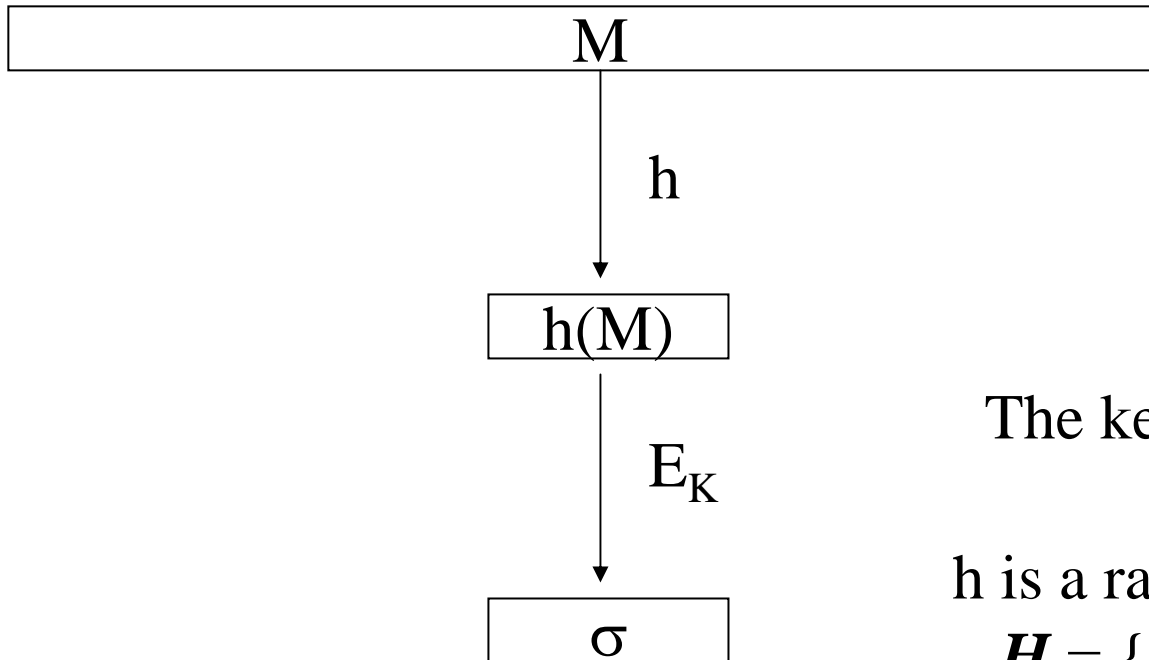
Encrypted CBC  
 (from RACE project).  
 Shown provably  
 secure (when E a PRP)  
 by [Petrank, Rackoff]



A different fix.  
 Provably security  
 shown in [Black, R]



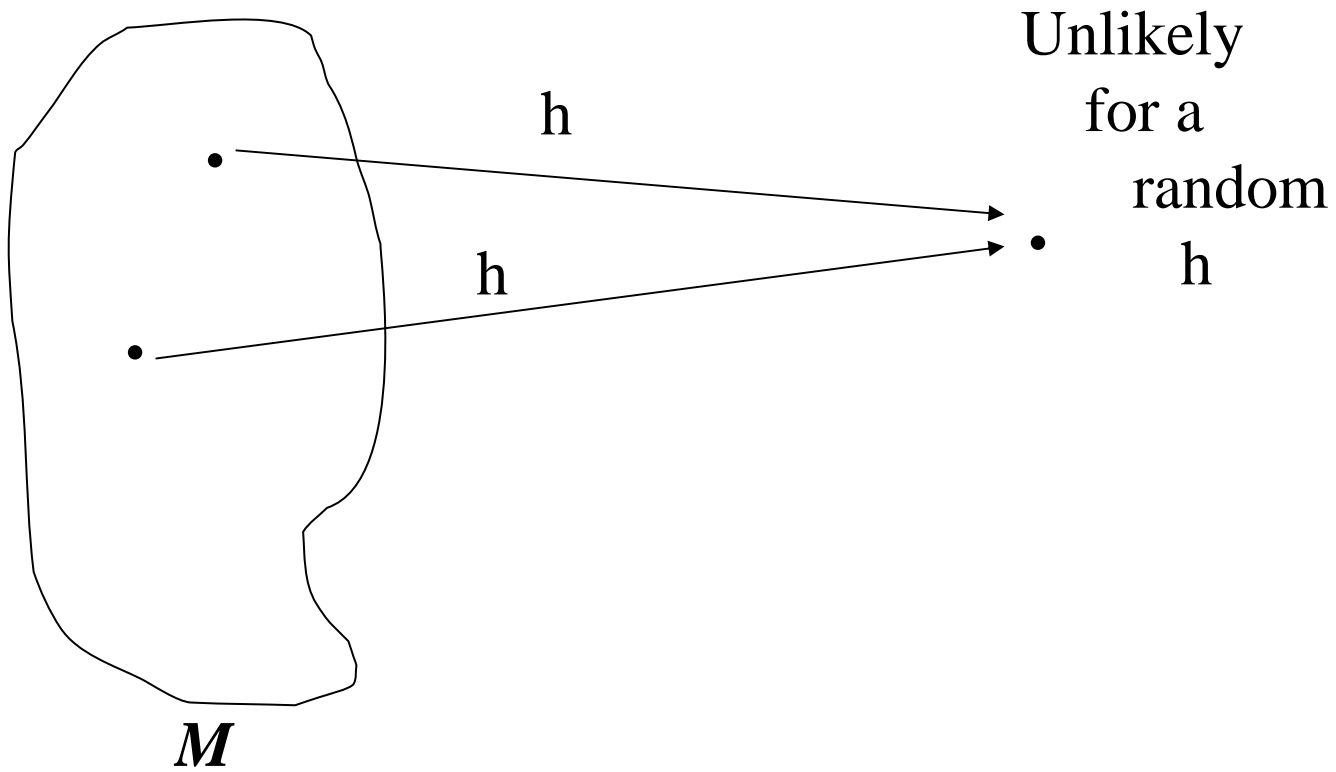
## Carter-Wegman paradigm



The key for the MAC is  $(h, K)$

$h$  is a random element of  
 $H = \{h: M \rightarrow \{0,1\}^n\}$

Def: Family of hash functions  $H = \{h: M \rightarrow \{0,1\}^n\}$   
is  $\epsilon$ -**AU** (almost universal) if for all  $M, M' \in M, M \neq M'$ ,  
 $\Pr_h [h(M) = h(M')] \leq \epsilon$



## Eg construction

$$M = M_m \dots M_0 \quad |M_i|=128$$

$$M(X) = X^m + M_{m-1} X^{m-1} + \dots + M_1 X + M_0$$

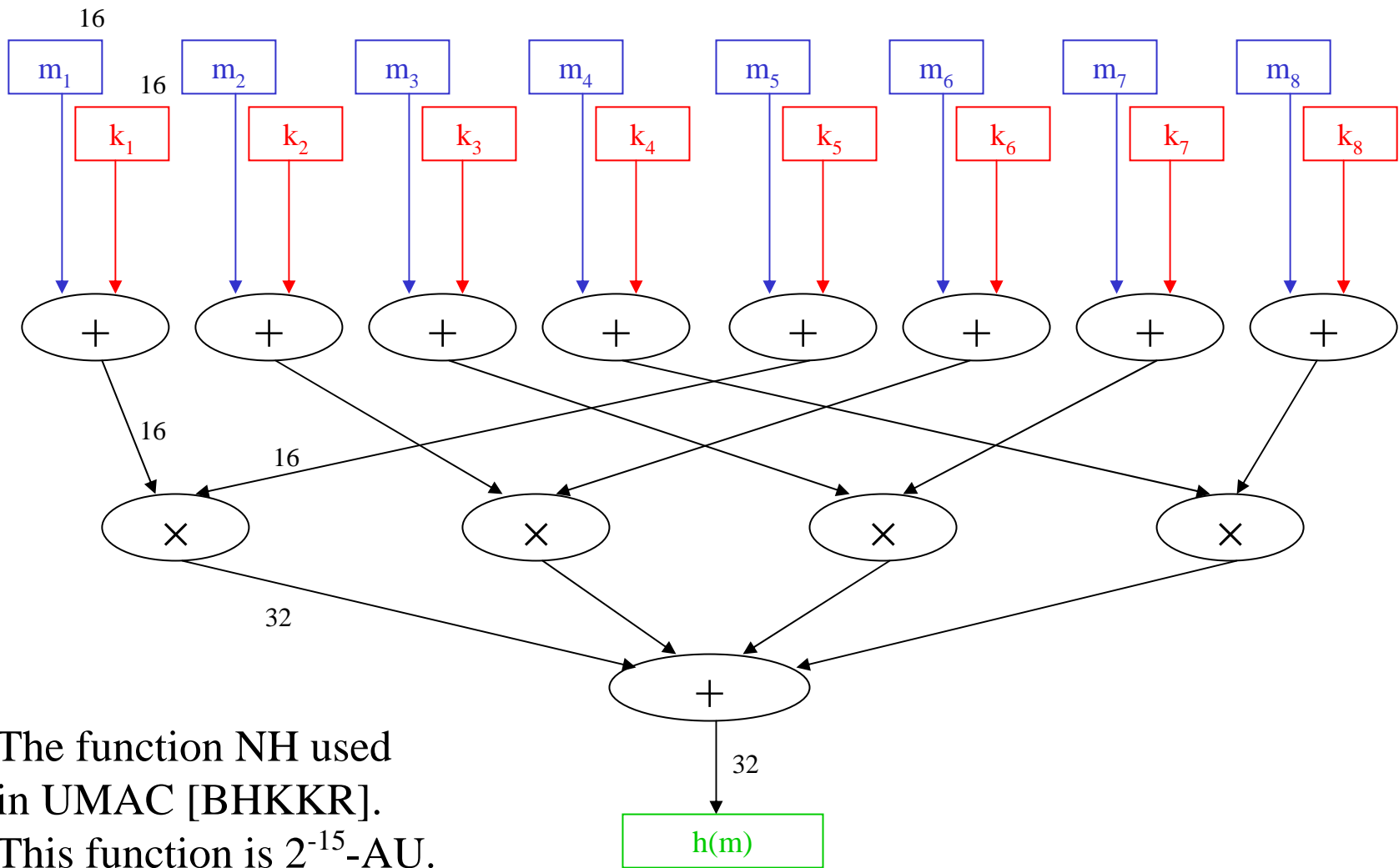
All operations in  $GF(2^{128})$

There are  $2^{128}$  elements of  $H$ , each described by a 128-bit  $R$ :

$$h_R(M) = M(R). \quad \text{Can be efficiently evaluated.}$$

Claim:  $H$  is  $m/2^{128}$ -AU where  $m$  upperbounds the number of blocks on any message  $M$  in the message space  $M$

Proof:  $\Pr [ M(R) = M'(R) ] = \Pr[\text{poly}(R) = 0] \leq m/2^{128}$  because  $\text{poly}(\cdot)$  is a nonzero polynomial of degree at most  $m$  and therefore has at most  $m$  zeros, and so that chance that a random point in the field is one of these zeros is at most  $m /$  the size of the field.

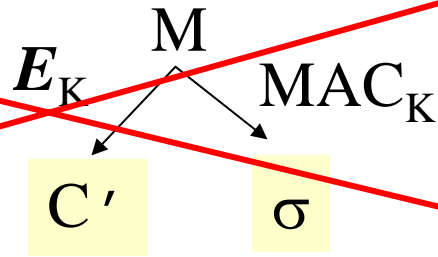


The function NH used in UMAC [BHKKR]. This function is  $2^{-15}$ -AU. The above can be computed in just four instructions on a Pentium processor, allowing one to MAC at about 1cpb.

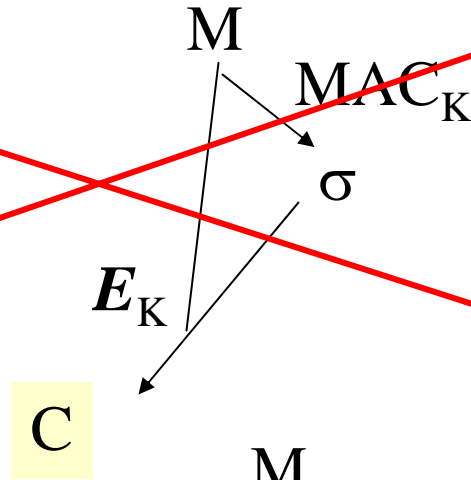
# Authenticated Encryption via Generic Composition

(see [Bellare, Namprempe])

~~Encrypt-and-MAC~~

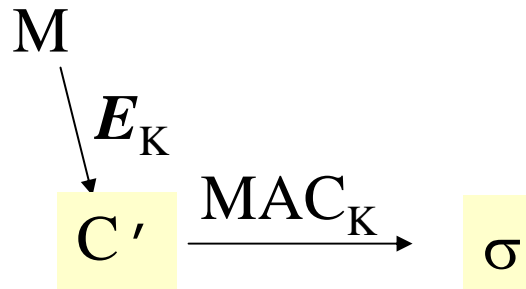


~~MAC-then-Encrypt~~



Encrypt-then-MAC

OK!



# Authenticated Encryption via Fancy Modes

(see IAPM [J] and OCB [RBBK])

