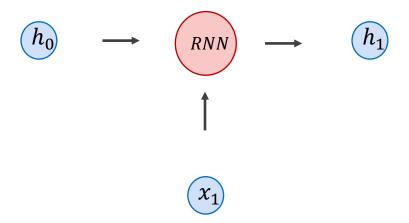
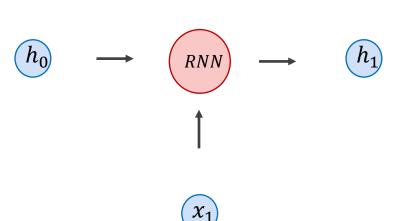
# CS6501: Deep Learning for Visual Recognition Recurrent Neural Networks (RNNs)

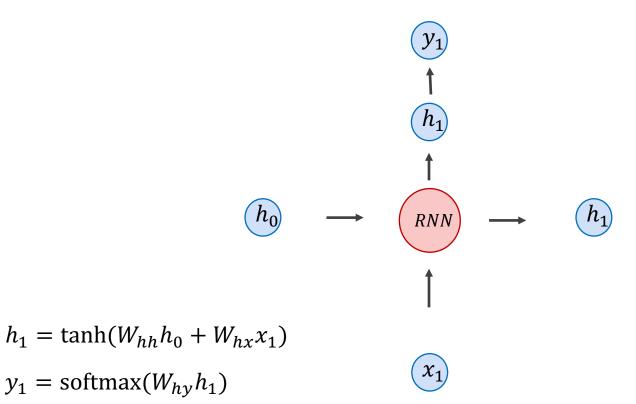
# Today's Class

- Recurrent Neural Network Cell
- Recurrent Neural Networks (RNNs)
- Bi-Directional Recurrent Neural Networks (Bi-RNNs)
- Multiple-layer / Stacked / Deep Bi-Direction Recurrent Neural Networks
- LSTMs and GRUs.
- Applications in Vision: Caption Generation.



$$h_1 = \tanh(W_{hh}h_0 + W_{hx}x_1)$$





$$y_1 = [0.1, 0.05, 0.05, 0.1, 0.7]$$

$$h_1 = [0.1 \quad 0.2 \quad 0 - 0.3 - 0.1]$$

$$h_0 = [0 \quad 0 \quad 0 \quad 0 \quad 0 \quad 0]$$

$$\uparrow$$

$$h_1 = [0.1 \quad 0.2 \quad 0 - 0.3 - 0.1]$$

$$\uparrow$$

$$h_1 = \tanh(W_{hh}h_0 + W_{hx}x_1)$$

$$x_1 = [0 \quad 0 \quad 1 \quad 0]$$

 $y_1 = \operatorname{softmax}(W_{hv}h_1)$ 

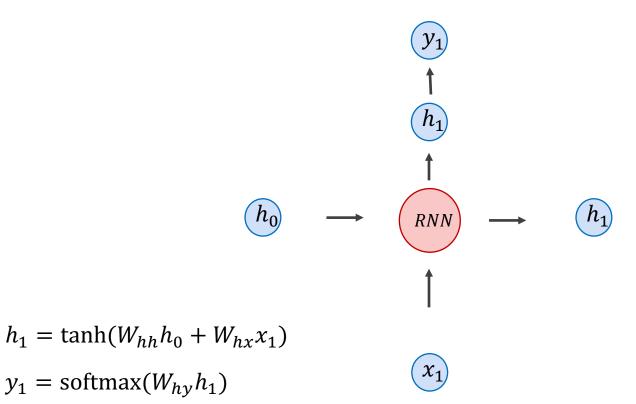
 $x_1 = [0\ 0\ 1\ 0\ 0]$ 

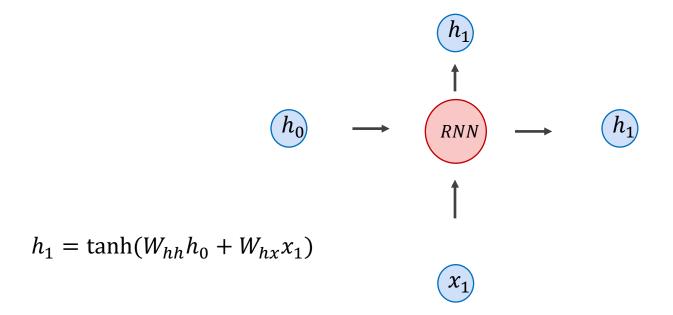
a b C d e

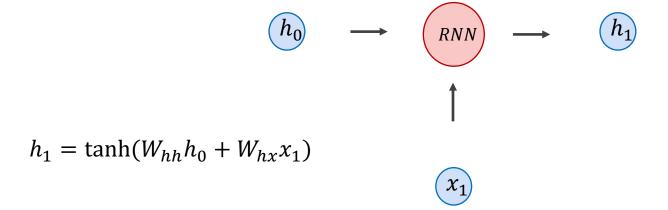
$$y_1 = [0.1, 0.05, 0.05, 0.1, 0.7] \longrightarrow e (0.7)$$

$$h_1 = [0.1 \ 0.2 \ 0 - 0.3 - 0.1]$$

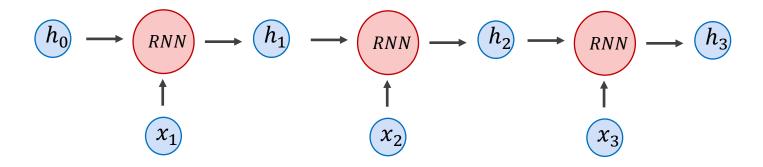
$$h_0 = [0 \ 0 \ 0 \ 0 \ 0 \ 0] \longrightarrow RNN \longrightarrow h_1 = [0.1 \ 0.2 \ 0 - 0.3 - 0.1]$$



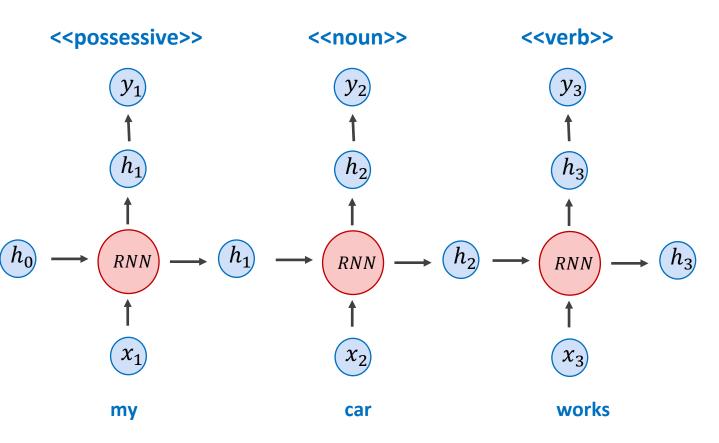




# (Unrolled) Recurrent Neural Network



One-to-one Sequence Mapping Problems



One-to-one Sequence Mapping Problems

Training examples don't need to be the same length!

input	output
my car works	< <pre>&lt;<possessive>&gt; &lt;<noun>&gt; &lt;<verb>&gt;</verb></noun></possessive></pre>
my dog ate the assignment	< <pre>&lt;<possessive>&gt; &lt;<noun>&gt; &lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre></pre></pre></pre></pre></pre></noun></possessive></pre>
my mother saved the day	< <pre>&lt;<possessive>&gt; &lt;<noun>&gt; &lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre></pre></pre></pre></pre></pre></noun></possessive></pre>
the smart kid solved the problem	< <pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre>

One-to-one Sequence Mapping Problems

Training examples don't need to be the same length!

input	output
L(my car works) = 3	L (< <possessive>&gt; &lt;<noun>&gt; &lt;<verb>&gt;) = 3</verb></noun></possessive>
L( my dog ate the assignment ) = 5	L (< <possessive>&gt; &lt;<noun>&gt; &lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></noun></possessive>
L( my mother saved the day ) = 5	L (< <possessive>&gt; &lt;<noun>&gt; &lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre>&lt;<pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></noun></possessive>
L( the smart kid solved the problem ) = 6	L (< <pre>c</pre>

One-to-one Sequence Mapping Problems

#### Training examples don't need to be the same length!

If we assume a vocabulary of a 1000 possible words and 20 possible output tags

input	output	
T: 1000 x 3	T: 20 x 3	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 6	T: 20 x 6	

One-to-one Sequence Mapping Problems

#### Training examples don't need to be the same length!

If we assume a vocabulary of a 1000 possible words and 20 possible output tags

input	output	
T: 1000 x 3	T: 20 x 3	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 6	T: 20 x 6	

How do we create batches if inputs and outputs have different shapes?

One-to-one Sequence Mapping Problems

Training examples don't need to be the same length!

If we assume a vocabulary of a 1000 possible words and 20 possible output tags

input	output	
T: 1000 x 3	T: 20 x 3	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 6	T: 20 x 6	

How do we create batches if inputs and outputs have different shapes?

Solution 1: Forget about batches, just process things one by one.

One-to-one Sequence Mapping Problems

Training examples don't need to be the same length!

If we assume a vocabulary of a 1000 possible words and 20 possible output tags

input	output	
T: 1000 x 3	T: 20 x 3	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 6	T: 20 x 6	

How do we create batches if inputs and outputs have different shapes?

Solution 2: Zero padding. We can put the above vectors in  $T: 4 \times 1000 \times 6$ 

One-to-one Sequence Mapping Problems

Training examples don't need to be the same length!

If we assume a vocabulary of a 1000 possible words and 20 possible output tags

input	output	
T: 1000 x 3	T: 20 x 3	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 5	T: 20 x 5	
T: 1000 x 6	T: 20 x 6	

How do we create batches if inputs and outputs have different shapes?

Solution 3: Advanced. Dynamic Batching or Auto-batching <a href="https://dynet.readthedocs.io/en/latest/tutorials\_notebooks/Autobatching.html">https://dynet.readthedocs.io/en/latest/tutorials\_notebooks/Autobatching.html</a>

#### One-to-one Sequence Mapping Problems

pad\_sequence

torch.nn.utils.rnn.pad\_sequence(*sequences*, *batch\_first=False*, *padding\_value=0*)

[SOURCE]

Pad a list of variable length Tensors with padding\_value

pad\_sequence stacks a list of Tensors along a new dimension, and pads them to equal length. For example, if the input is list of sequences with size  $L \times *$  and if batch\_first is False, and  $T \times B \times *$  otherwise.

B is batch size. It is equal to the number of elements in sequences. T is length of the longest sequence. L is length of the sequence. \* is any number of trailing dimensions, including none.

#### Example

```
>>> from torch.nn.utils.rnn import pad_sequence
>>> a = torch.ones(25, 300)
>>> b = torch.ones(22, 300)
>>> c = torch.ones(15, 300)
>>> pad_sequence([a, b, c]).size()
torch.Size([25, 3, 300])
```

#### • NOTE

This function returns a Tensor of size  $T \times B \times *$  or  $B \times T \times *$  where T is the length of the longest sequence. This function assumes trailing dimensions and type of all the Tensors in sequences are same.

#### **Parameters**

- **sequences** (*list*[*Tensor*]) list of variable length sequences.
- batch\_first (bool, optional) output will be in B x T x \* if True, or in T x B x \* otherwise
- padding\_value (python:float, optional) value for padded elements. Default: 0.

#### Returns

Tensor of size T x B x \* if batch first is False. Tensor of size B x T x \* otherwise

Solution 4: Pytorch stacking, padding, and sorting combination

#### One-to-one Sequence Mapping Problems

pack\_sequence

torch.nn.utils.rnn.pack\_sequence(sequences, enforce\_sorted=True)

SOURCE]

Packs a list of variable length Tensors

sequences should be a list of Tensors of size  $L \times *$ , where L is the length of a sequence and \* is any number of trailing dimensions, including zero.

For unsorted sequences, use <code>enforce\_sorted=False</code>. If <code>enforce\_sorted</code> is <code>True</code>, the sequences should be sorted in the order of decreasing length. <code>enforce\_sorted=True</code> is only necessary for ONNX export.

Example

```
>>> from torch.nn.utils.rnn import pack_sequence
>>> a = torch.tensor([1,2,3])
>>> b = torch.tensor([4,5])
>>> c = torch.tensor([6])
>>> pack_sequence([a, b, c])
PackedSequence(data=tensor([ 1, 4, 6, 2, 5, 3]), batch_sizes=tensor([ 3, 2, 1]))
```

#### **Parameters**

- **sequences** (*list*[*Tensor*]) A list of sequences of decreasing length.
- enforce\_sorted (bool, optional) if True, checks that the input contains sequences sorted by length in a
  decreasing order. If False, this condition is not checked. Default: True.

Returns

a PackedSequence object

Solution 4: Pytorch stacking, padding, and sorting combination

#### Pytorch RNN

#### RNN

CLASS torch.nn.RNN(\*args, \*\*kwargs)

[SOURCE]

Applies a multi-layer Elman RNN with tanh or ReLU non-linearity to an input sequence.

For each element in the input sequence, each layer computes the following function:

$$h_t = anh(W_{ih}x_t + b_{ih} + W_{hh}h_{(t-1)} + b_{hh})$$

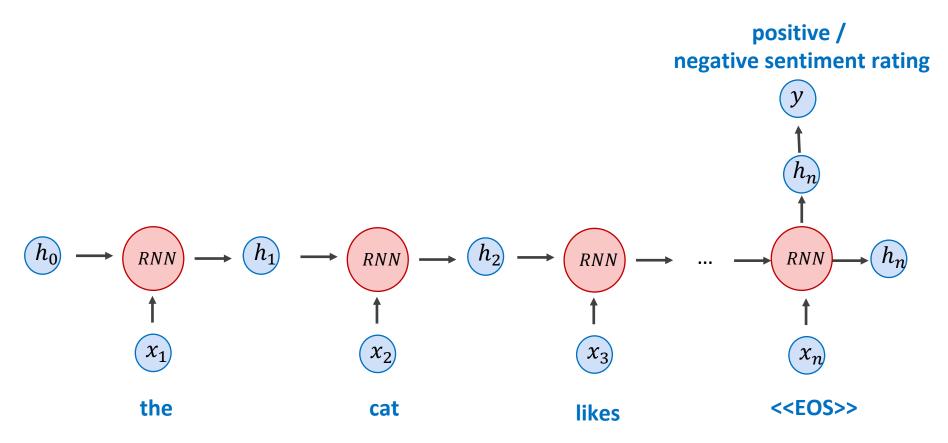
where  $h_t$  is the hidden state at time t,  $x_t$  is the input at time t, and  $h_{(t-1)}$  is the hidden state of the previous layer at time t-t or the initial hidden state at time t. In nonlinearity is 'relu', then ReLU is used instead of t and t.

Inputs: input, h\_0

• **input** of shape (seq\_len, batch, input\_size): tensor containing the features of the input sequence. The input can also be a packed variable length sequence. See <a href="torch.nn.utils.rnn.pack\_padded\_sequence">torch.nn.utils.rnn.pack\_padded\_sequence</a>() or <a href="torch.nn.utils.rnn.pack\_sequence">torch.nn.utils.rnn.pack\_sequence</a>() for details.

#### How can it be used? – e.g. Scoring the Sentiment of a Text Sequence

Many-to-one Sequence to score problems



### How can it be used? – e.g. Sentiment Scoring

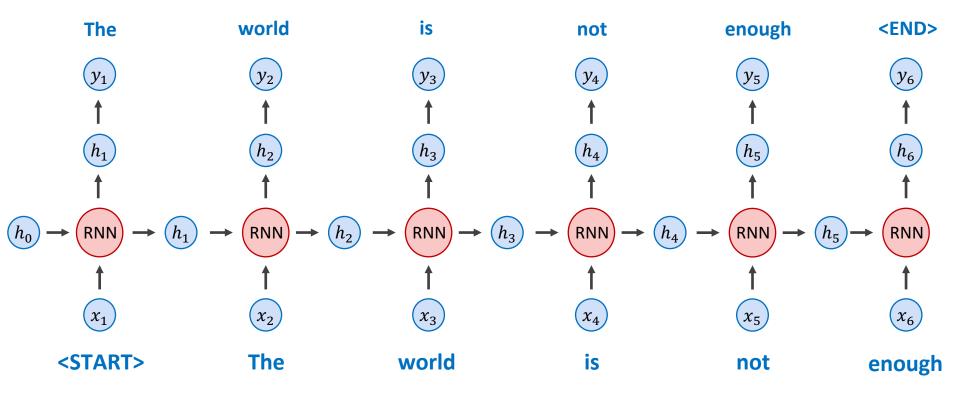
Many to one Mapping Problems

Input training examples don't need to be the same length!
In this case outputs can be.

input	output
this restaurant has good food	Positive
this restaurant is bad	Negative
this restaurant is the worst	Negative
this restaurant is well recommended	Positive

**Auto-regressive model** – Sequence to Sequence during Training, Auto-regressive during test

#### **DURING TRAINING**

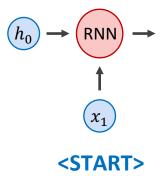


**Auto-regressive Models** 

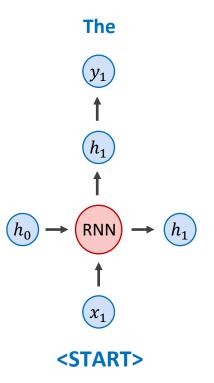
Input training examples don't need to be the same length!
In this case outputs can be.

input	output
<start> this restaurant has good food</start>	this restaurant has good food <end></end>
<start> this restaurant is bad</start>	this restaurant is bad <end></end>
<start> this restaurant is the worst</start>	this restaurant is the worst <end></end>
<start> this restaurant is well recommended</start>	this restaurant is well recommended <end></end>

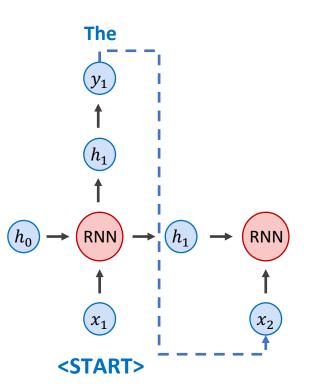
**Auto-regressive model** – Sequence to Sequence during Training, Auto-regressive during test



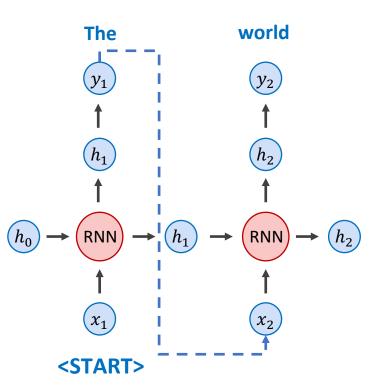
**Auto-regressive model** – Sequence to Sequence during Training, Auto-regressive during test



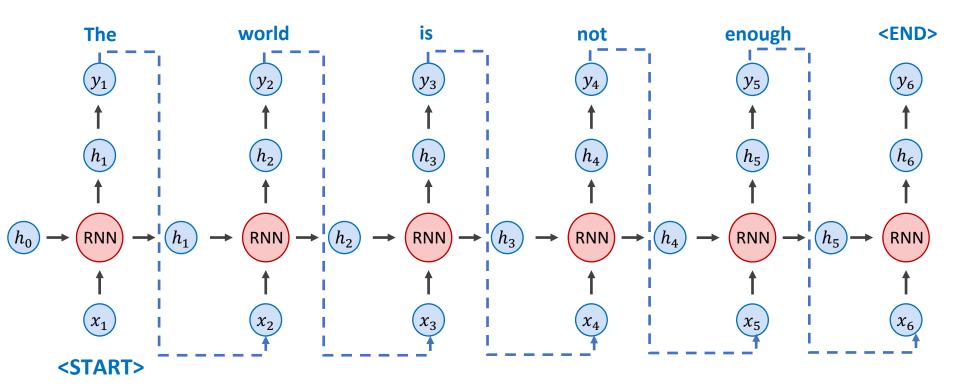
**Auto-regressive model** – Sequence to Sequence during Training, Auto-regressive during test



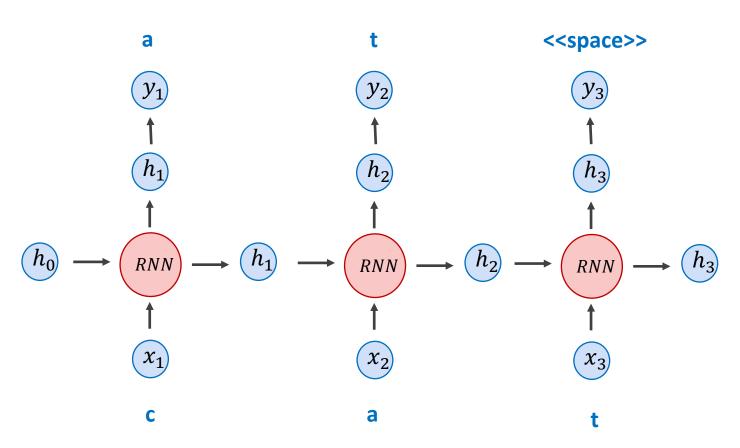
**Auto-regressive model** – Sequence to Sequence during Training, Auto-regressive during test



**Auto-regressive model** – Sequence to Sequence during Training, Auto-regressive during test



# Character-level Models



### Generating Sequences With Recurrent Neural Networks

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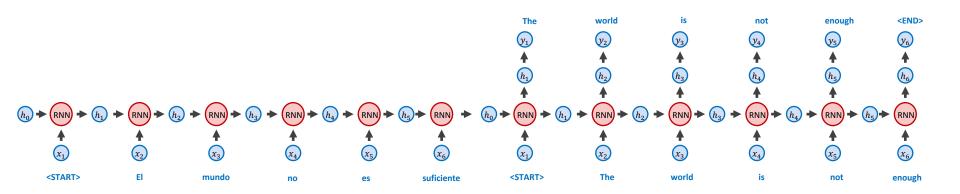
#### Abstract

This paper shows how Long Short-term Memory recurrent neural networks can be used to generate complex sequences with long-range structure, simply by predicting one data point at a time. The approach is demonstrated for text (where the data are discrete) and online handwriting (where the data are real-valued). It is then extended to handwriting synthesis by allowing the network to condition its predictions on a text sequence. The resulting system is able to generate highly realistic cursive handwriting in a wide variety of styles.

#### How can it be used? – e.g. Machine Translation

**Sequence to Sequence – Encoding – Decoding – Many to Many mapping** 

**DURING TRAINING** 



#### How can it be used? – e.g. Machine Translation

Sequence to Sequence Models

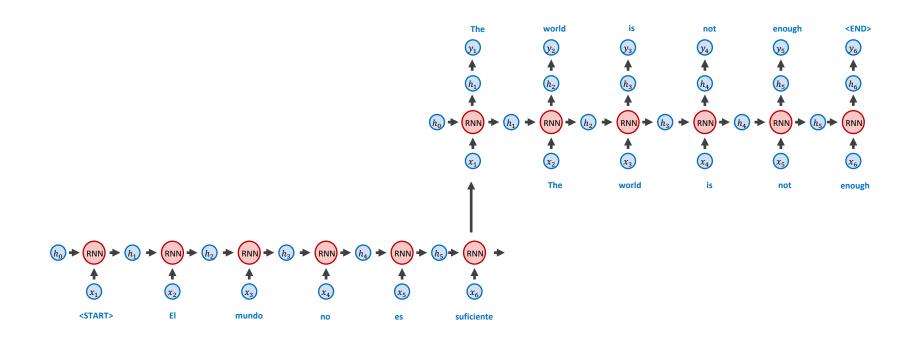
Input training examples don't need to be the same length!
In this case outputs can be.

input	output
<start> este restaurante tiene buena comida <start> this restaurant has good food</start></start>	this restaurant has good food <end></end>
<start> el mundo no es suficiente <start> the world is not enough</start></start>	the world is not enough <end></end>

#### How can it be used? – e.g. Machine Translation

**Sequence to Sequence – Encoding – Decoding – Many to Many mapping** 

DURING TRAINING – (Alternative)



# Learning Phrase Representations using RNN Encoder–Decoder for Statistical Machine Translation

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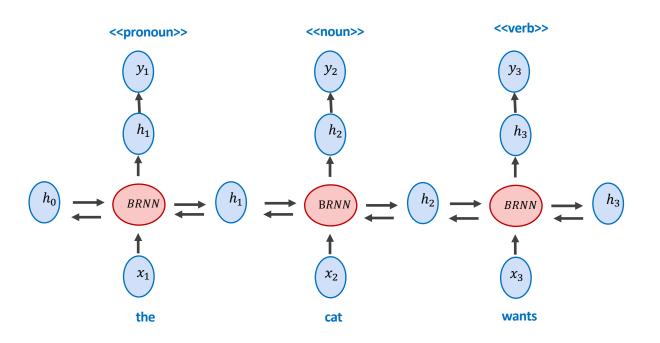
NEURAL MACHINE TRANSLATION
BY JOINTLY LEARNING TO ALIGN AND TRANSLATE

**Dzmitry Bahdanau** 

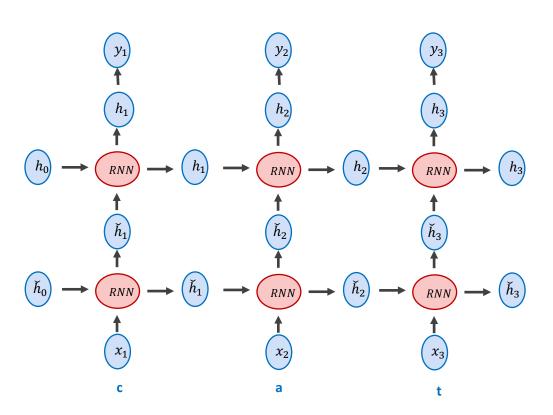
Jacobs University Bremen, Germany

KyungHyun Cho Yoshua Bengio\* Université de Montréal

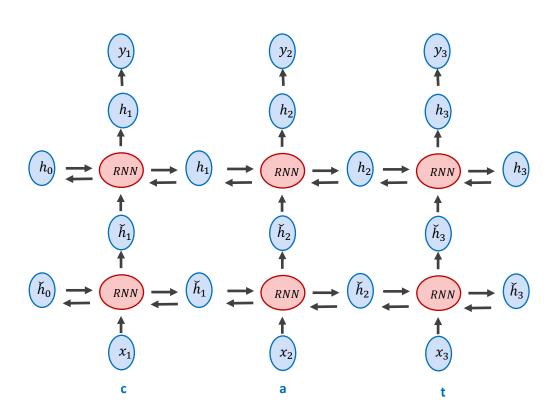
## Bidirectional Recurrent Neural Network



### Stacked Recurrent Neural Network



### Stacked Bidirectional Recurrent Neural Network



# RNN in Pytorch

#### **Recurrent layers**

class torch.nn.RNN(\*args, \*\*kwargs)

Applies a multi-layer Elman RNN with tanh or ReLU non-linearity to an input sequence.

For each element in the input sequence, each layer computes the following function:

$$h_t = \tanh(w_{ih} * x_t + b_{ih} + w_{hh} * h_{(t-1)} + b_{hh})$$

where  $h_t$  is the hidden state at time t, and  $x_t$  is the hidden state of the previous layer at time t or  $input_t$  for the first layer. If nonlinearity='relu', then ReLU is used instead of tanh.

Parameters:

- input\_size The number of expected features in the input x
- hidden\_size The number of features in the hidden state h
- num\_layers Number of recurrent layers.
- nonlinearity The non-linearity to use ['tanh'|'relu']. Default: 'tanh'
- bias If False, then the layer does not use bias weights b\_ih and b\_hh. Default:

True

- batch\_first If True, then the input and output tensors are provided as (batch, seq, feature)
- **dropout** If non-zero, introduces a dropout layer on the outputs of each RNN layer except the last layer
- bidirectional If True, becomes a bidirectional RNN. Default: False

# LSTM Cell (Long Short-Term Memory)

$$i_{t} = \sigma (W_{xi}x_{t} + W_{hi}h_{t-1} + W_{ci}c_{t-1} + b_{i})$$

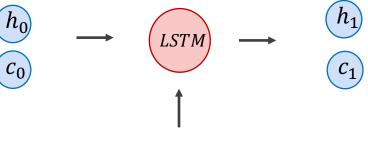
$$f_{t} = \sigma (W_{xf}x_{t} + W_{hf}h_{t-1} + W_{cf}c_{t-1} + b_{f})$$

$$c_{t} = f_{t}c_{t-1} + i_{t} \tanh (W_{xc}x_{t} + W_{hc}h_{t-1} + b_{c})$$

$$o_{t} = \sigma (W_{xo}x_{t} + W_{ho}h_{t-1} + W_{co}c_{t} + b_{o})$$

$$h_{t} = o_{t} \tanh(c_{t})$$

$$(10)$$



# LSTM in Pytorch

class torch.nn.LSTM(\*args, \*\*kwargs) [so

Applies a multi-layer long short-term memory (LSTM) RNN to an input sequence.

For each element in the input sequence, each layer computes the following function:

$$i_{t} = \operatorname{sigmoid}(W_{ii}x_{t} + b_{ii} + W_{hi}h_{(t-1)} + b_{hi})$$

$$f_{t} = \operatorname{sigmoid}(W_{if}x_{t} + b_{if} + W_{hf}h_{(t-1)} + b_{hf})$$

$$g_{t} = \operatorname{tanh}(W_{ig}x_{t} + b_{ig} + W_{hc}h_{(t-1)} + b_{hg})$$

$$o_{t} = \operatorname{sigmoid}(W_{io}x_{t} + b_{io} + W_{ho}h_{(t-1)} + b_{ho})$$

$$c_{t} = f_{t} * c_{(t-1)} + i_{t} * g_{t}$$

$$h_{t} = o_{t} * \operatorname{tanh}(c_{t})$$

where  $h_t$  is the hidden state at time t,  $c_t$  is the cell state at time t,  $x_t$  is the hidden state of the previous layer at time t or  $input_t$  for the first layer, and  $i_t$ ,  $f_t$ ,  $g_t$ ,  $o_t$  are the input, forget, cell, and out gates, respectively.

#### Parameters:

- input\_size The number of expected features in the input x
- hidden\_size The number of features in the hidden state h
- num\_layers Number of recurrent layers.
- bias If False, then the layer does not use bias weights b\_ih and b\_hh. Default:

True

- batch\_first If True, then the input and output tensors are provided as (batch, seq, feature)
- dropout If non-zero, introduces a dropout layer on the outputs of each RNN layer except the last layer
- bidirectional If True, becomes a bidirectional RNN. Default: False

# GRU in Pytorch

class torch.nn.GRU(\*args, \*\*kwargs) [s

Applies a multi-layer gated recurrent unit (GRU) RNN to an input sequence.

For each element in the input sequence, each layer computes the following function:

$$r_{t} = \operatorname{sigmoid}(W_{ir}x_{t} + b_{ir} + W_{hr}h_{(t-1)} + b_{hr})$$

$$z_{t} = \operatorname{sigmoid}(W_{iz}x_{t} + b_{iz} + W_{hz}h_{(t-1)} + b_{hz})$$

$$n_{t} = \tanh(W_{in}x_{t} + b_{in} + r_{t} * (W_{hn}h_{(t-1)} + b_{hn}))$$

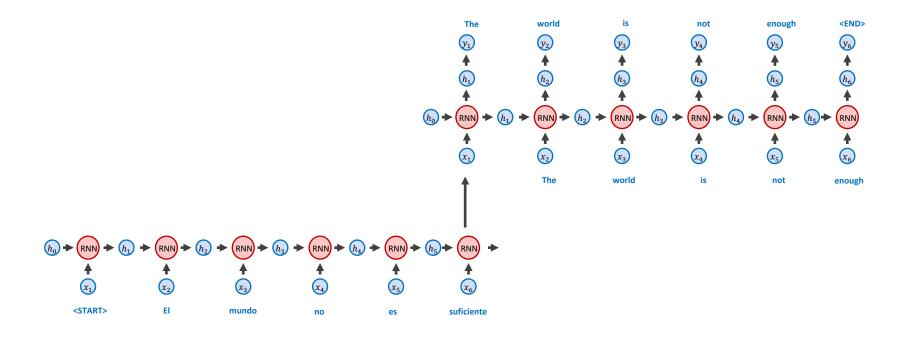
$$h_{t} = (1 - z_{t}) * n_{t} + z_{t} * h_{(t-1)}$$

where  $h_t$  is the hidden state at time t,  $x_t$  is the hidden state of the previous layer at time t or  $input_t$  for the first layer, and  $r_t$ ,  $z_t$ ,  $n_t$  are the reset, input, and new gates, respectively.

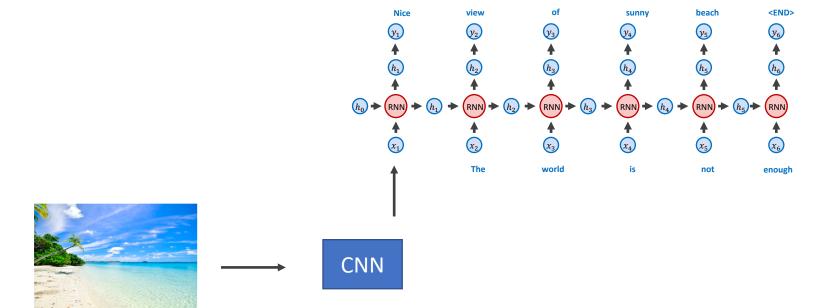
#### **Parameters:**

- input size The number of expected features in the input x
- hidden\_size The number of features in the hidden state h
- num\_layers Number of recurrent layers.
- **bias** If False, then the layer does not use bias weights b\_ih and b\_hh. Default: True
- batch\_first If True, then the input and output tensors are provided as (batch, seq, feature)
- **dropout** If non-zero, introduces a dropout layer on the outputs of each RNN layer except the last layer
- bidirectional If True, becomes a bidirectional RNN. Default: False

#### Tomorrow: RNNs for Image Caption Generation



#### Tomorrow: RNNs for Image Caption Generation



# Questions?