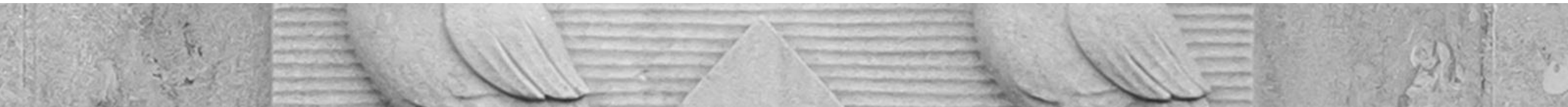


Deep Learning for Vision & Language

Natural Language Processing I: Transformers II



RICE UNIVERSITY



Transformers: Attention is All You Need

Attention Is All You Need

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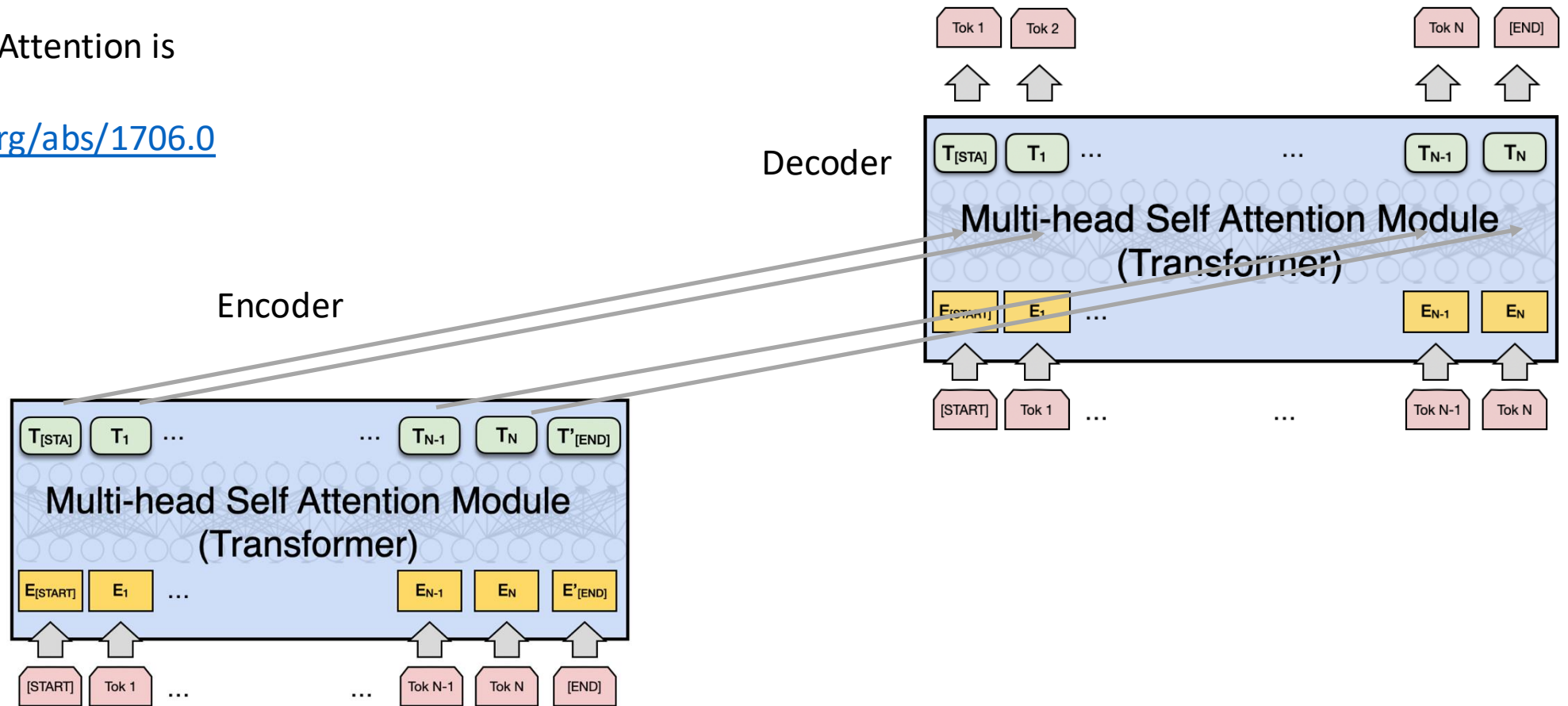
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Attention is All you Need (no RNNs)

Vaswani et al. Attention is
all you need

<https://arxiv.org/abs/1706.03762>



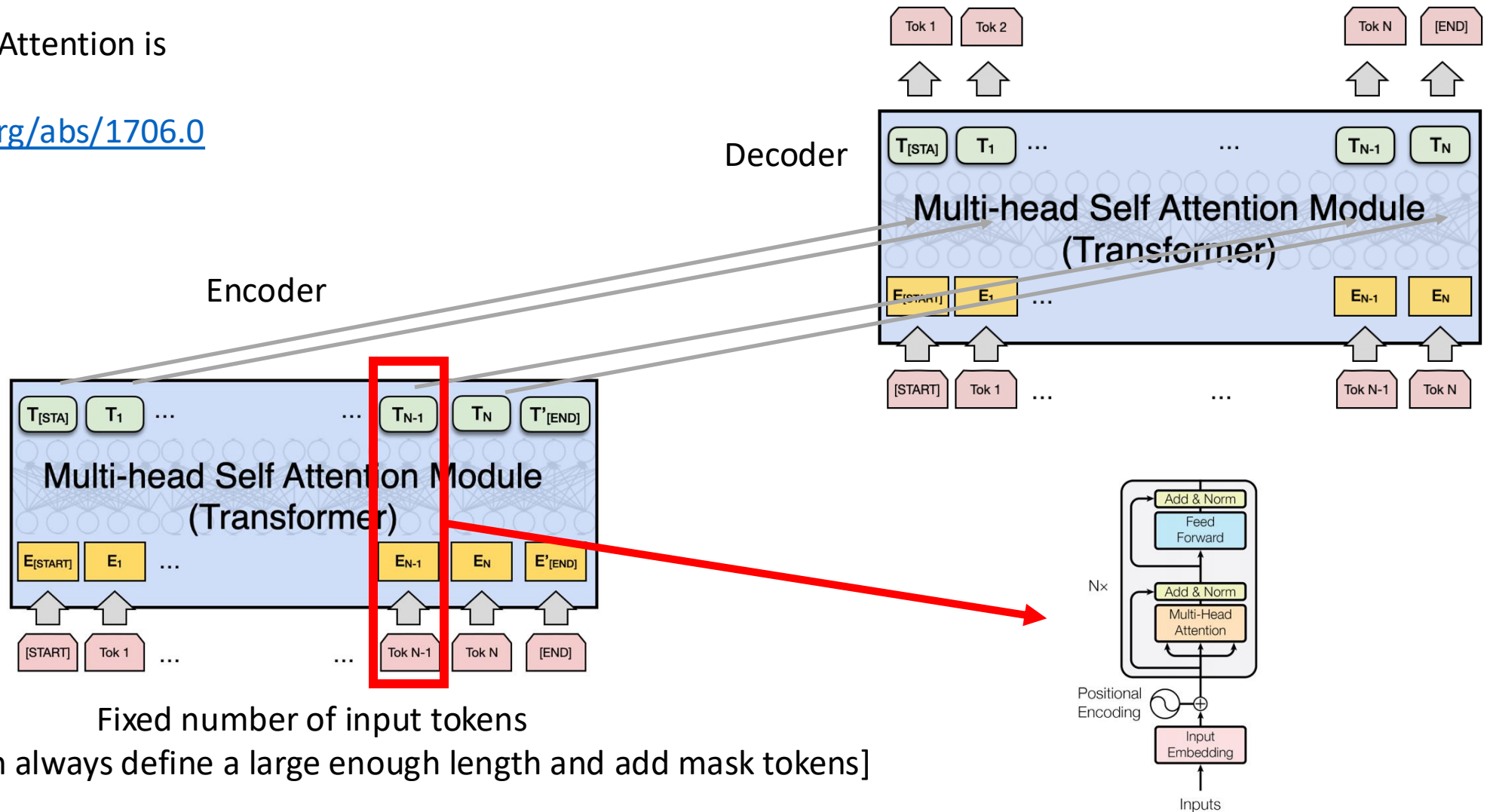
Fixed number of input tokens

[but hey! we can always define a large enough length and add mask tokens]

Attention is All you Need (no RNNs)

Vaswani et al. Attention is all you need

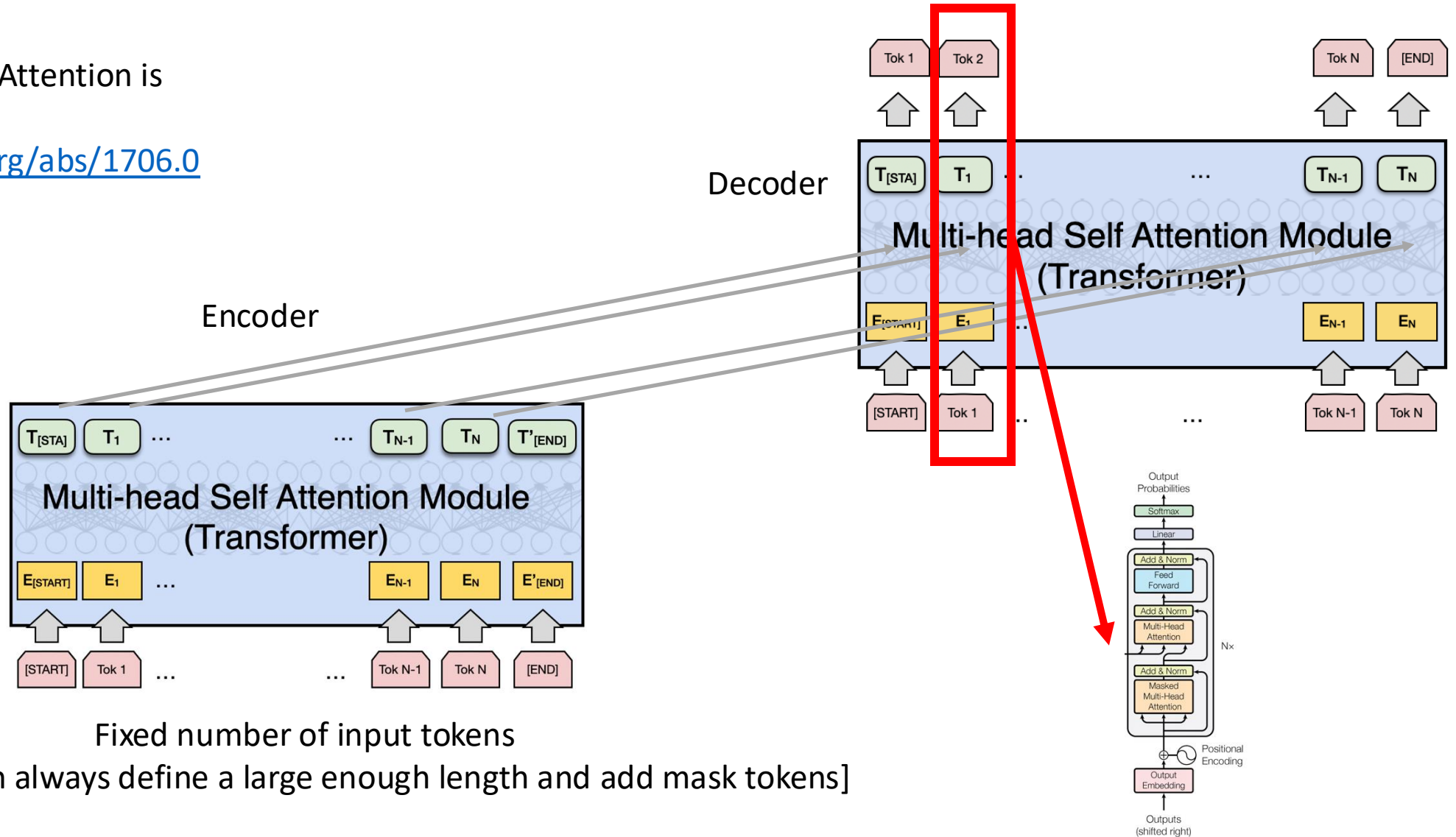
<https://arxiv.org/abs/1706.03762>



Attention is All you Need (no RNNs)

Vaswani et al. Attention is
all you need

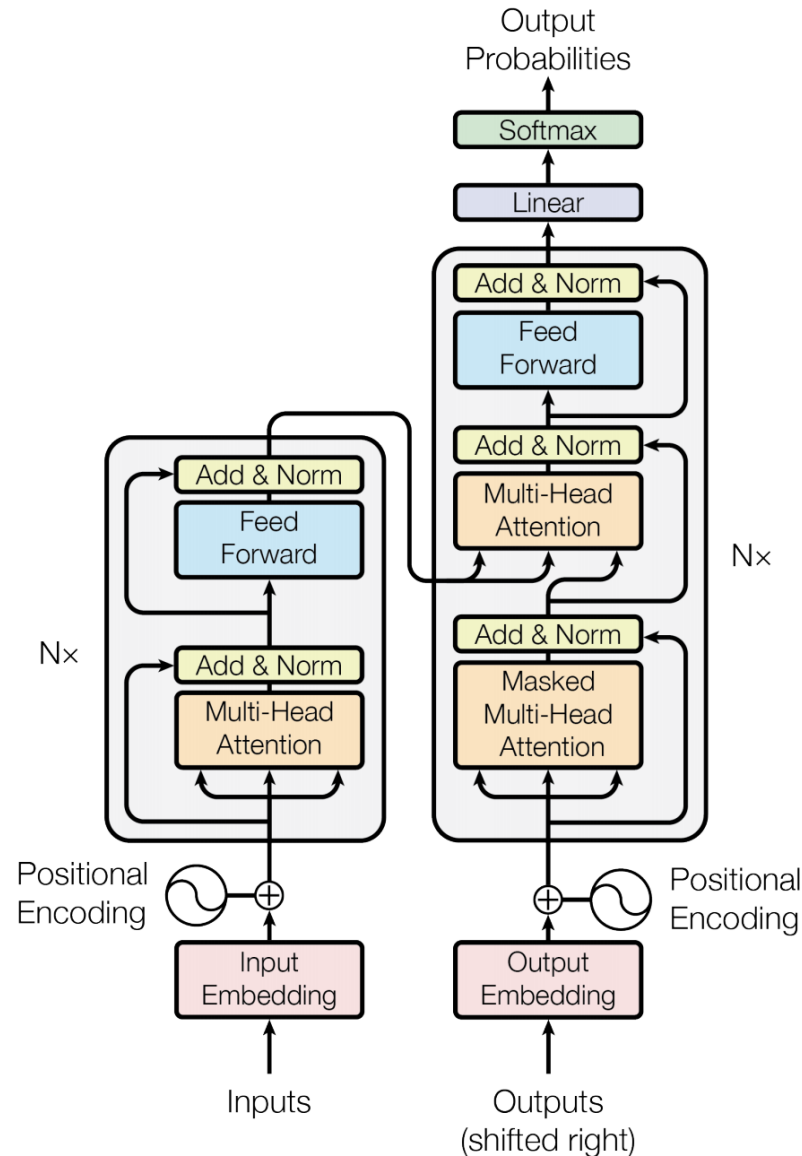
<https://arxiv.org/abs/1706.03762>



We can also draw this as in the paper:

Vaswani et al. Attention is
all you need

<https://arxiv.org/abs/1706.03762>



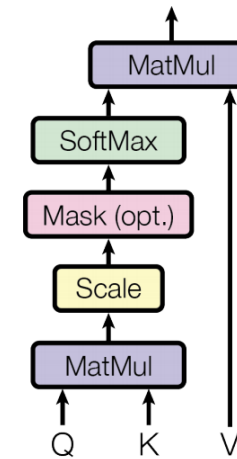
Regular Attention: + Scaling factor

Vaswani et al. Attention is
all you need

<https://arxiv.org/abs/1706.03762>

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Scaled Dot-Product Attention



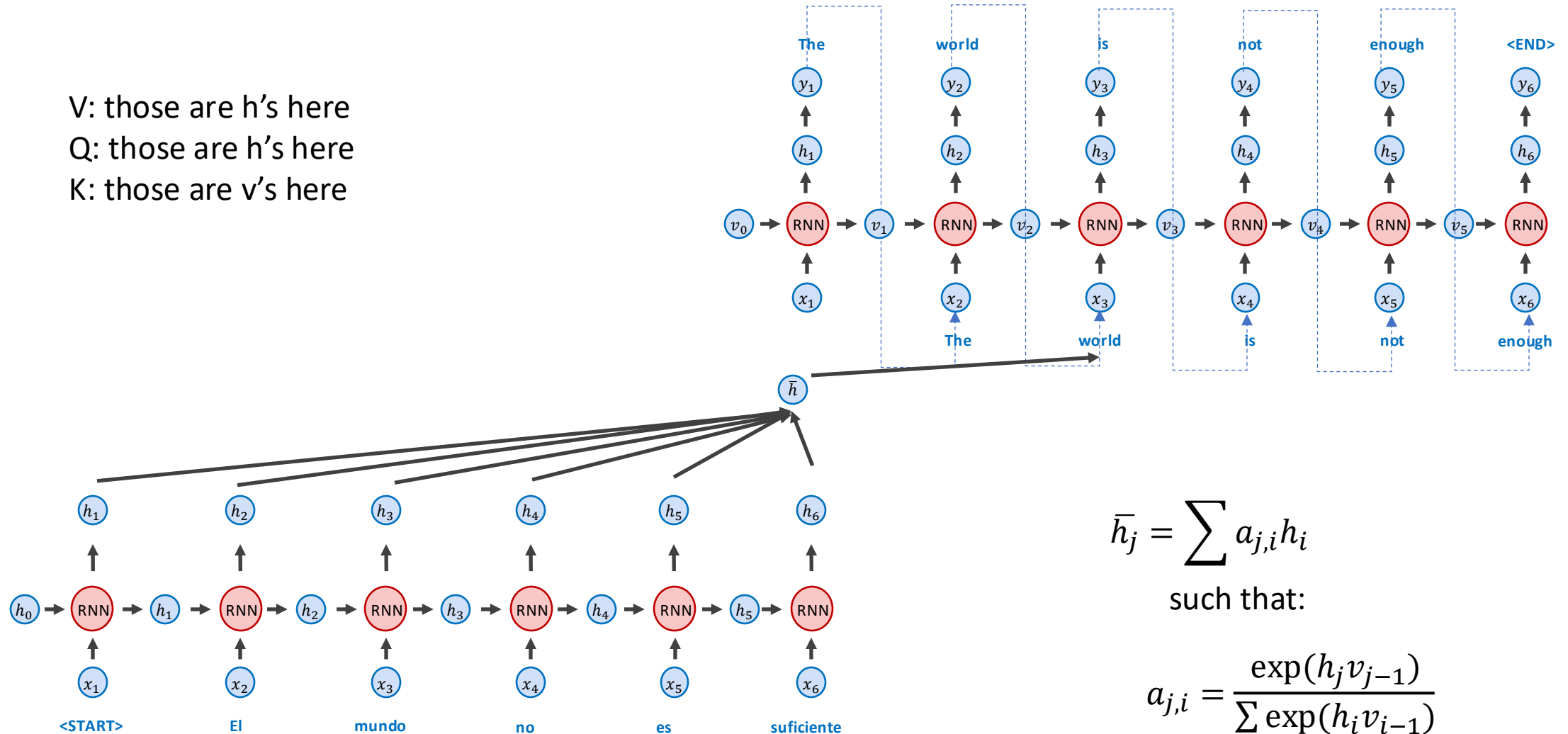
This is not unlike what we already used before

Only showing the third time step encoder-decoder connection

V: those are h's here

Q: those are h's here

K: those are v's here



Multi-head Attention: Do not settle for just one set of attention weights.

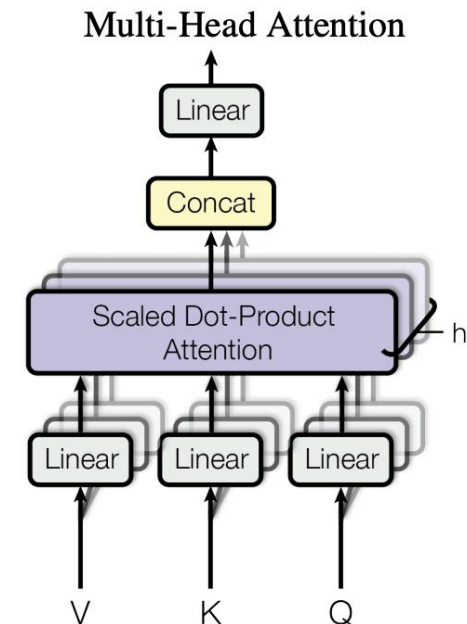
Vaswani et al. Attention is
all you need

<https://arxiv.org/abs/1706.03762>

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

where $\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$

Where the projections are parameter matrices $W_i^Q \in \mathbb{R}^{d_{\text{model}} \times d_k}$, $W_i^K \in \mathbb{R}^{d_{\text{model}} \times d_k}$, $W_i^V \in \mathbb{R}^{d_{\text{model}} \times d_v}$ and $W^O \in \mathbb{R}^{hd_v \times d_{\text{model}}}$.

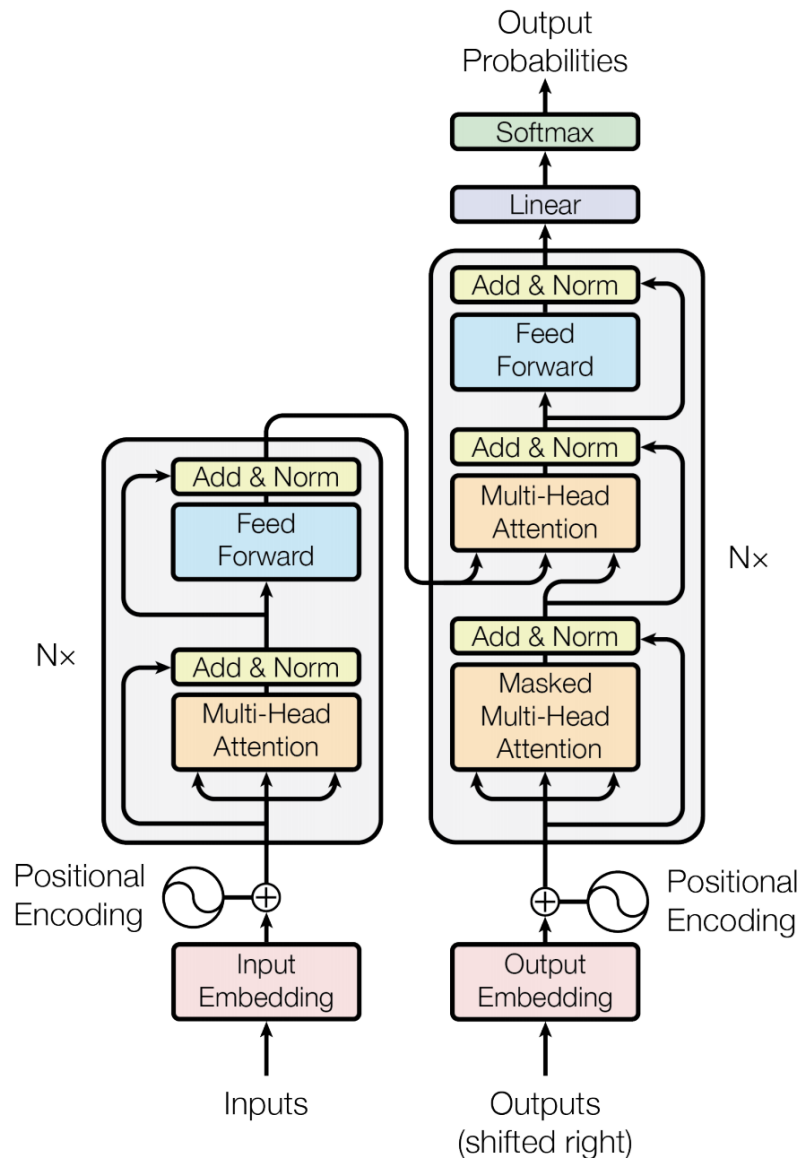


We can lose track of position since we are aggregating across all locations

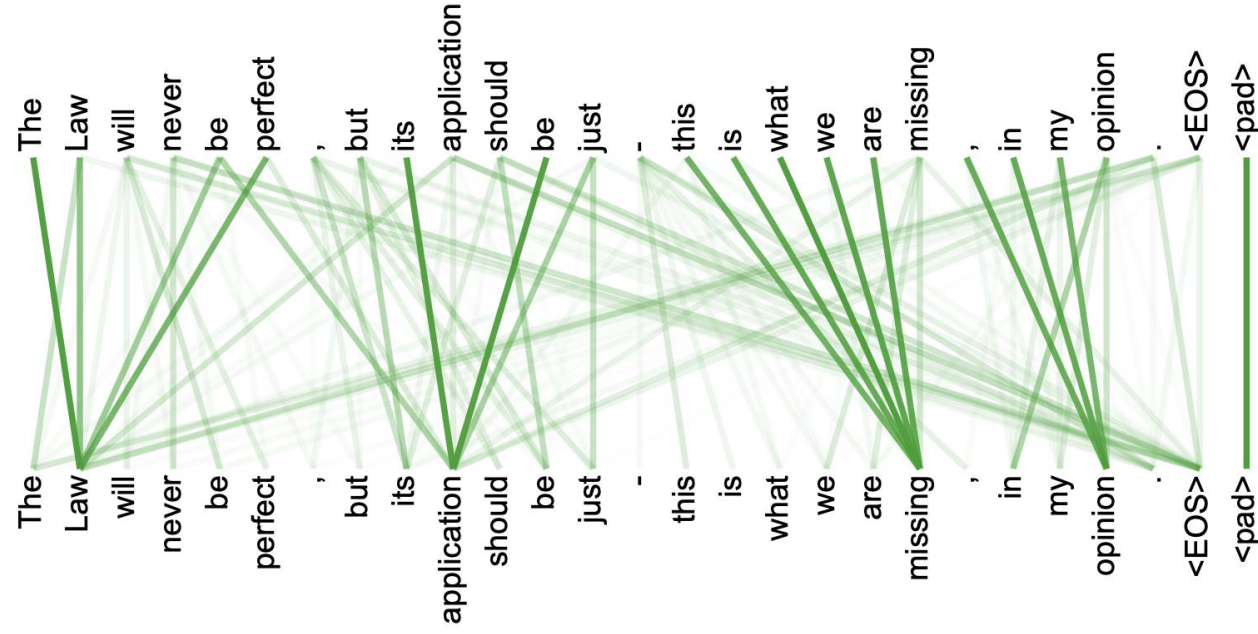
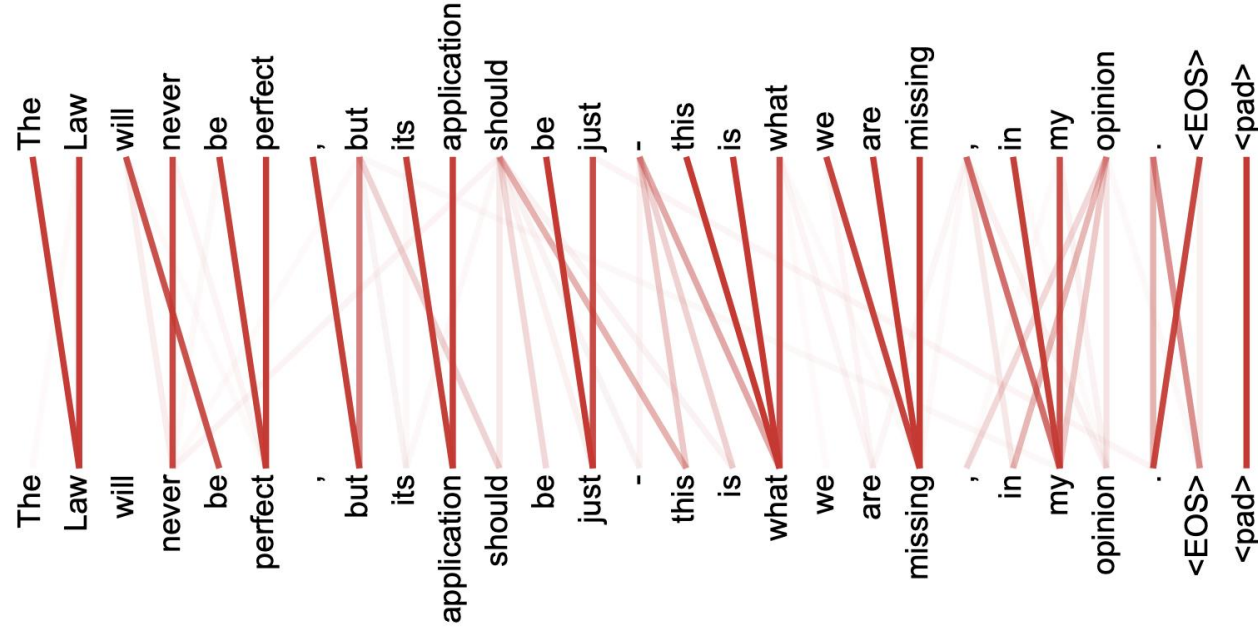
Vaswani et al. Attention is
all you need

<https://arxiv.org/abs/1706.03762>

$$PE_{(pos,2i)} = \sin(pos/10000^{2i/d_{\text{model}}})$$
$$PE_{(pos,2i+1)} = \cos(pos/10000^{2i/d_{\text{model}}})$$



Multi-headed
attention
weights are
harder to
interpret
obviously

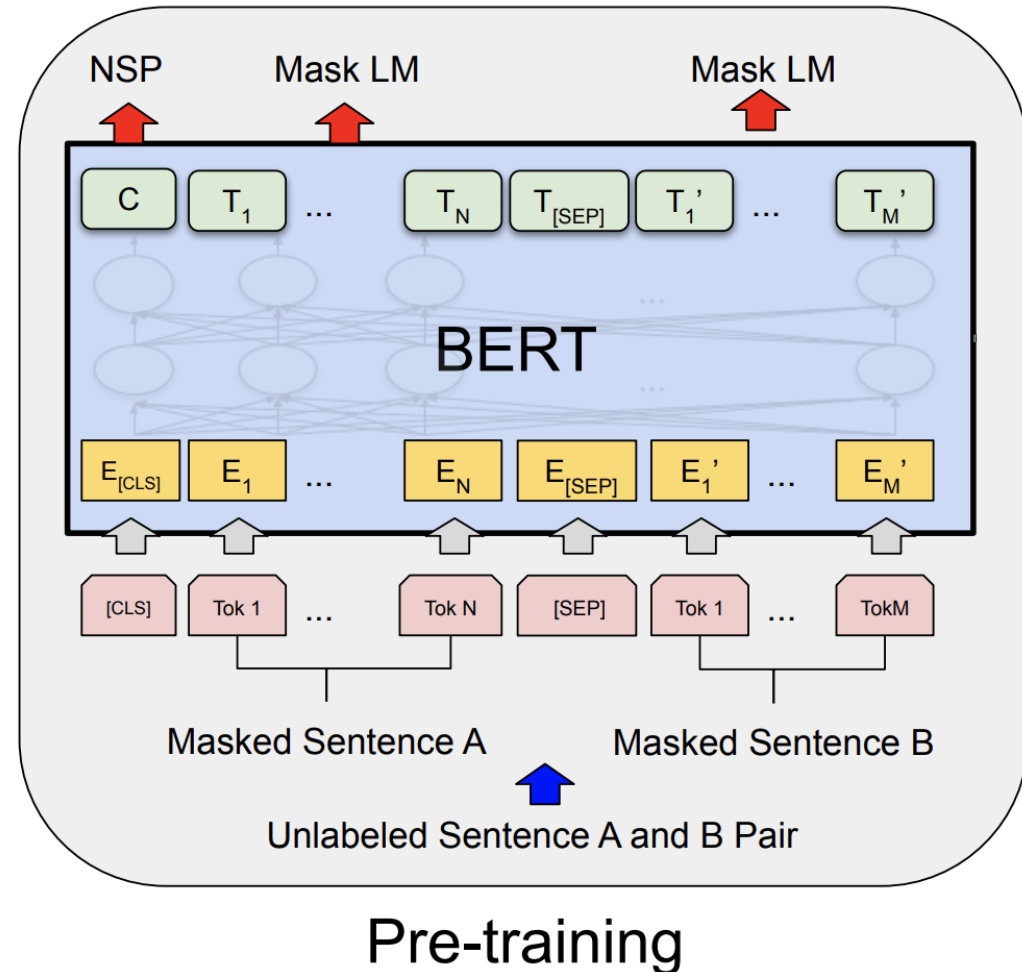


The BERT Encoder Model (October, 2018)

Devlin et al. BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding . <https://arxiv.org/abs/1810.04805>

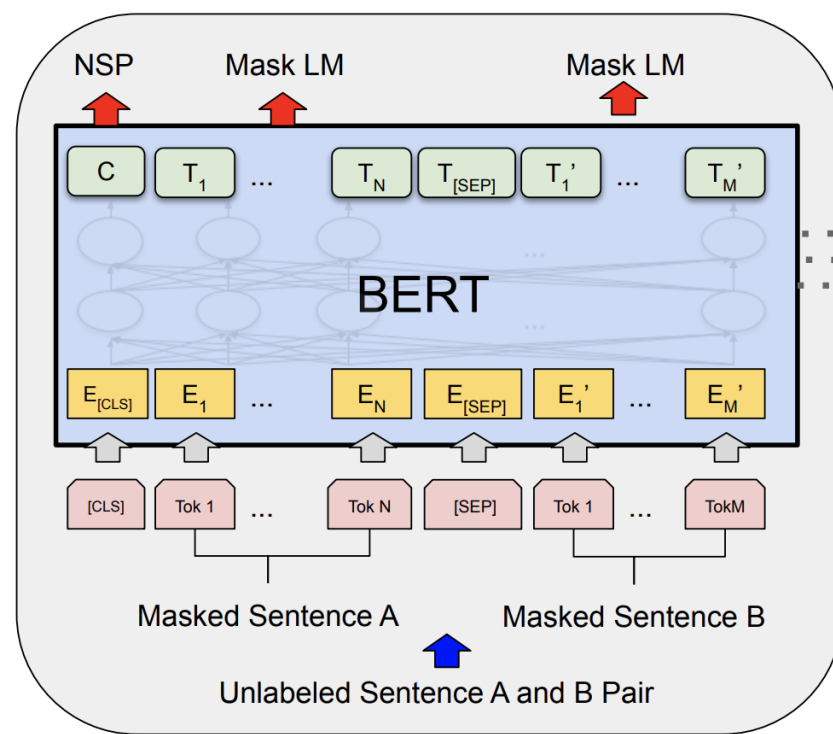
Important things to know

- No decoder
- Train the model to fill-in-the-blank by masking some of the input tokens and trying to recover the full sentence.
- The input is not one sentence but two sentences separated by a [SEP] token.
- Also try to predict whether these two input sentences are consecutive or not.

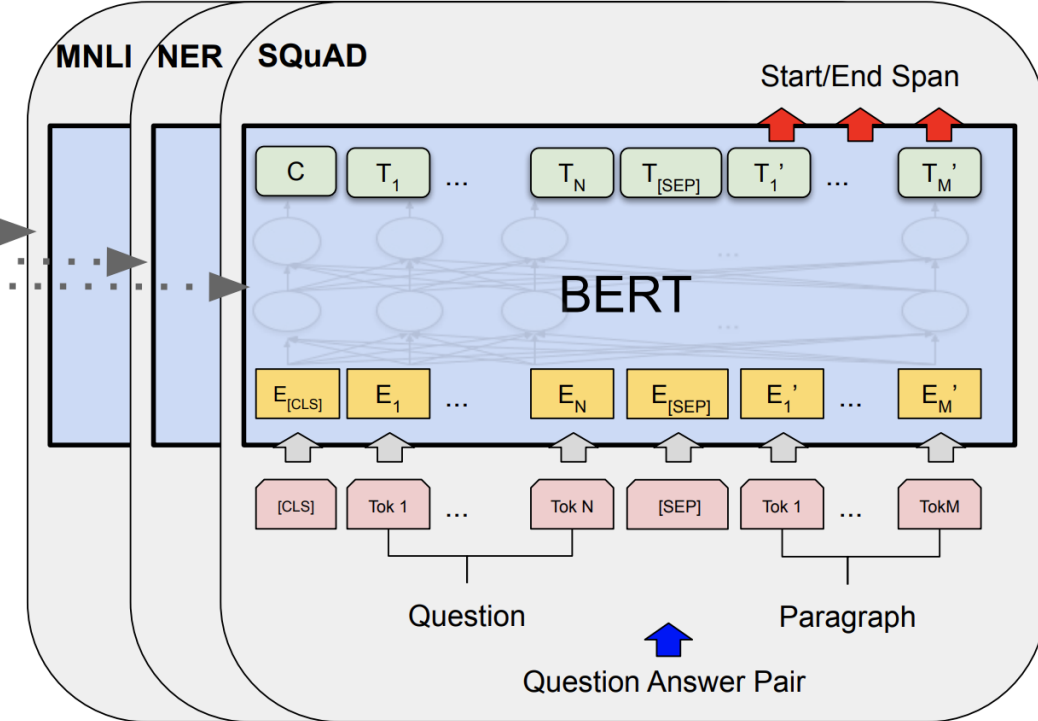


The BERT Encoder Model

Devlin et al. BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding . <https://arxiv.org/abs/1810.04805>



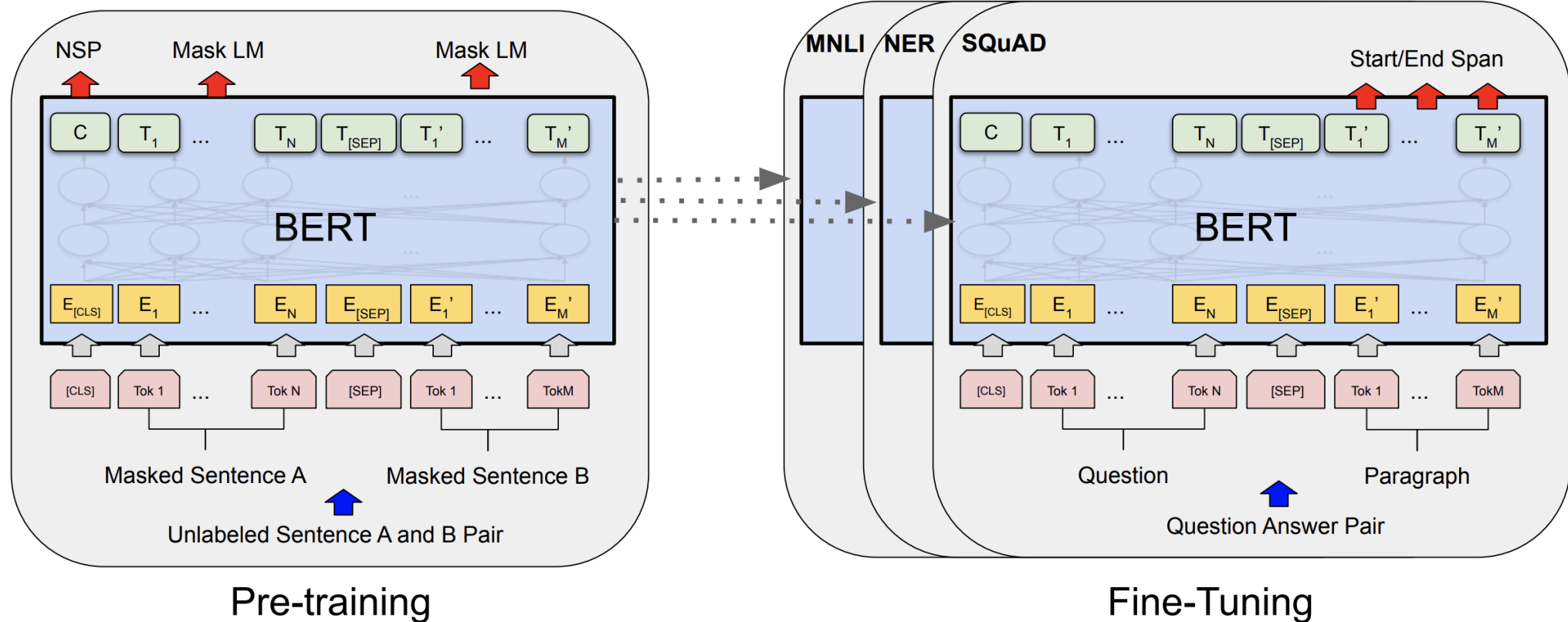
Pre-training



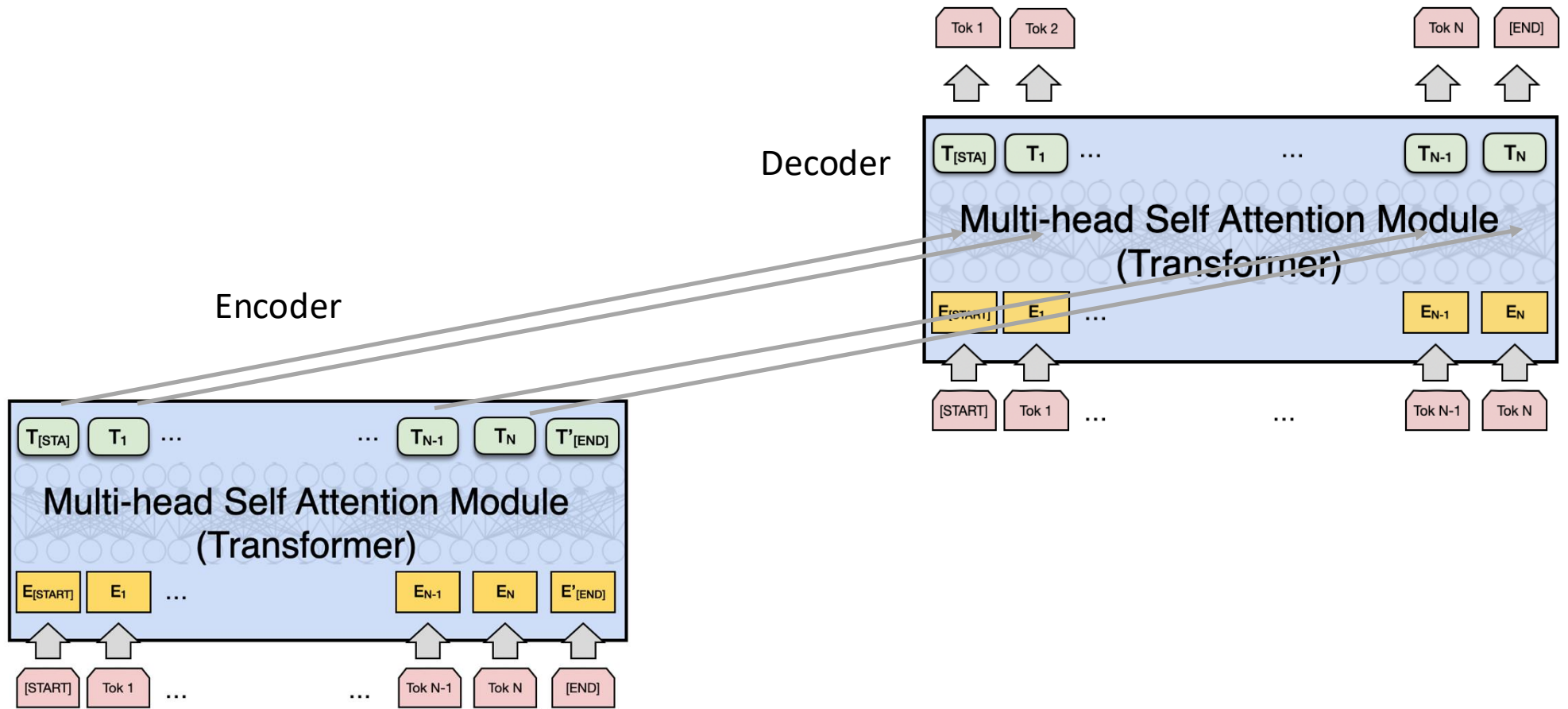
Fine-Tuning

The BERT Encoder-only Model

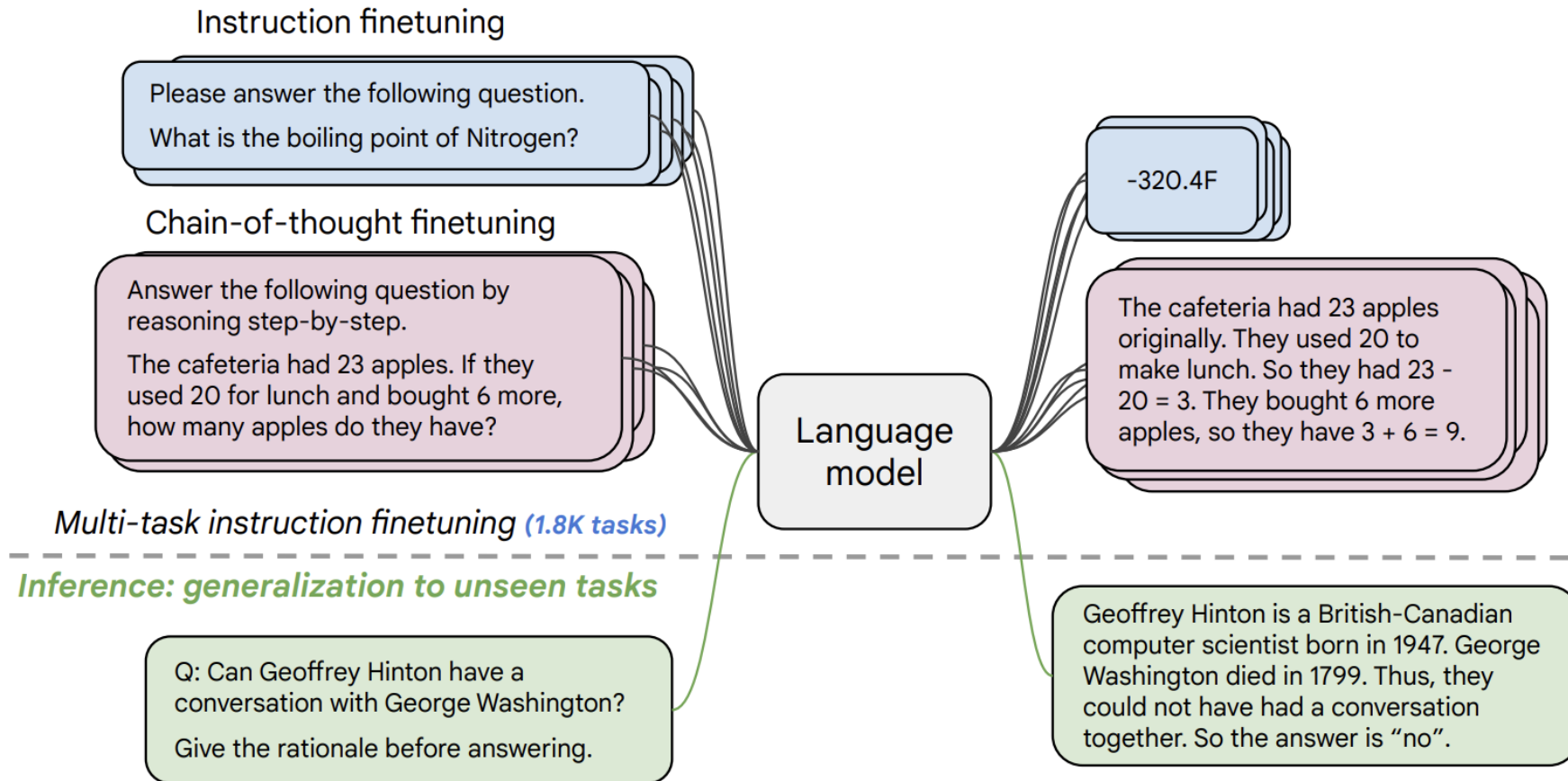
Devlin et al. BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding . <https://arxiv.org/abs/1810.04805>



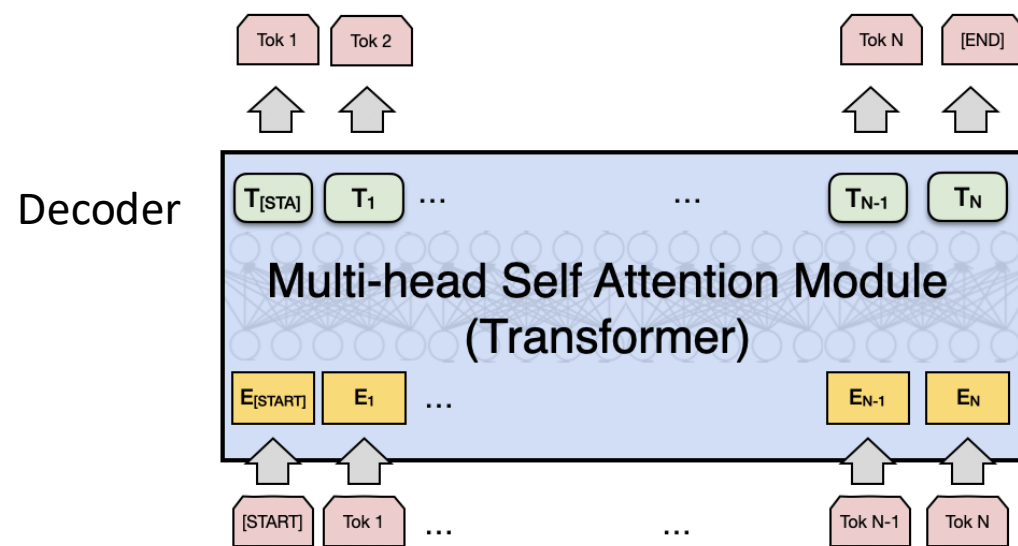
The T5 Encoder-Decoder Model



Instruction Tuning (FLAN-T5 by Google)



The GPT-2, GPT-3 Decoder-only Model



The GPT-2 Model (Feb, 2019)

Language Models are Unsupervised Multitask Learners

Alec Radford^{* 1} Jeffrey Wu^{* 1} Rewon Child¹ David Luan¹ Dario Amodei^{ 1} Ilya Sutskever^{** 1}**

<https://openai.com/blog/better-language-models/>

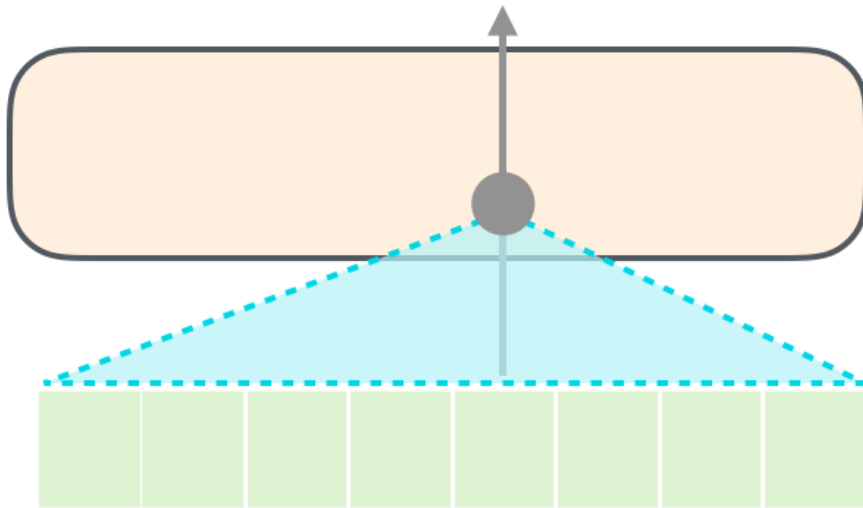
The GPT-2 Model



The GPT-2 Model

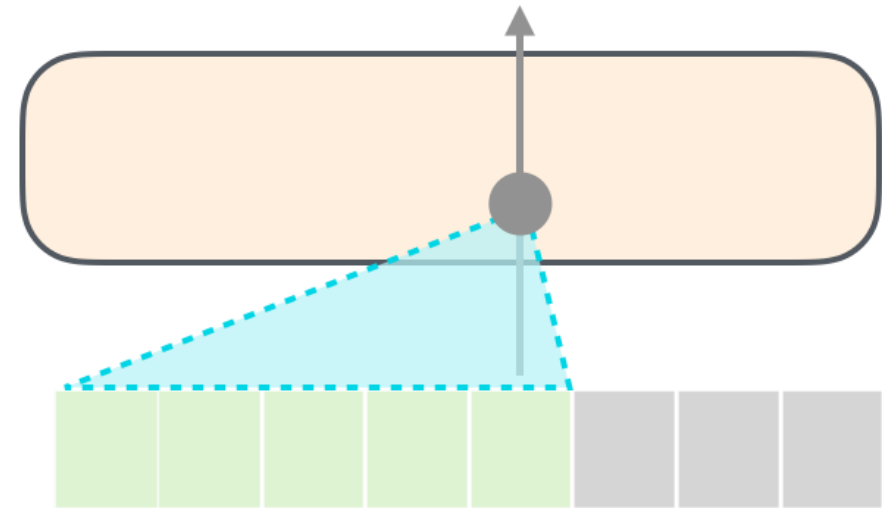
BERT

Self-Attention

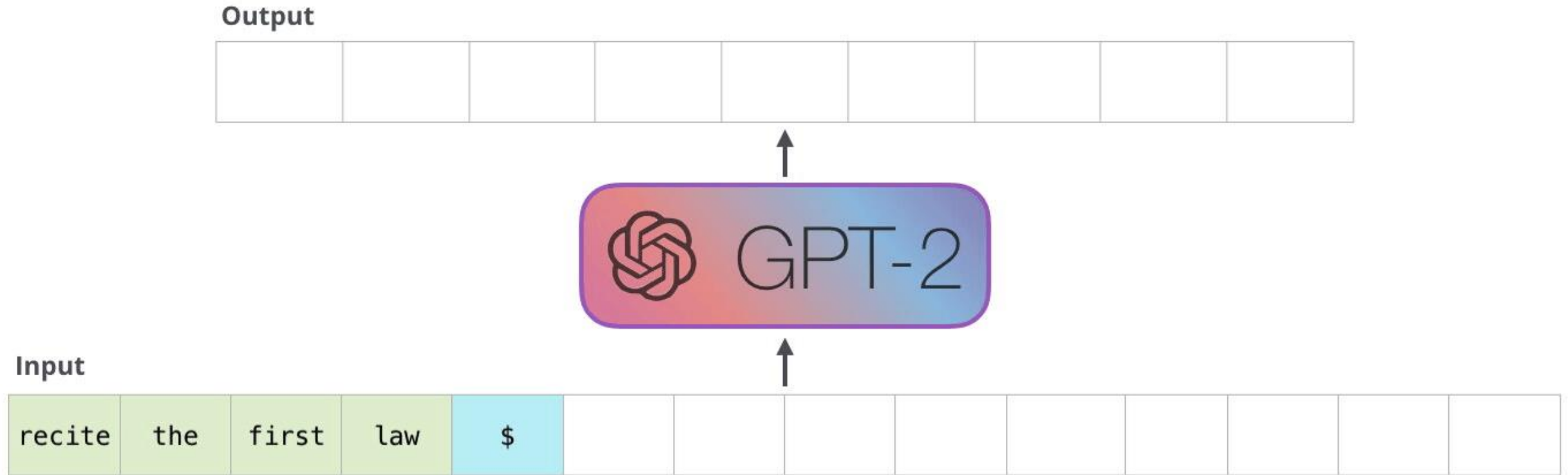


GPT

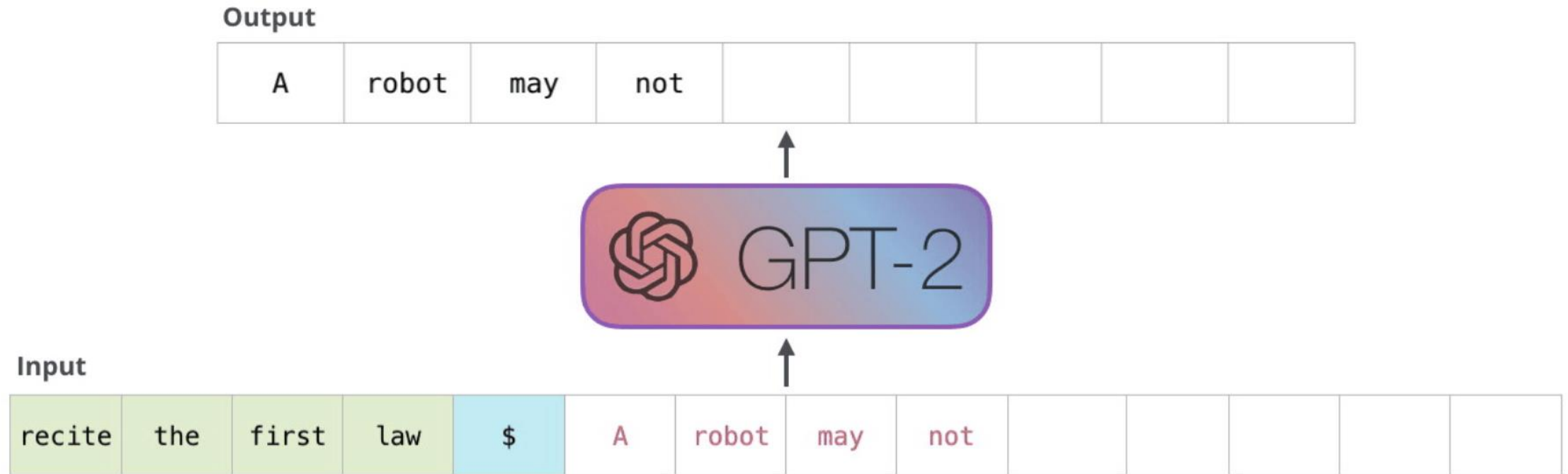
Masked Self-Attention



The GPT-2 Model



The GPT-2 Model



The GPT-2 Model



GPT-1 vs GPT-2 vs GPT-3

	GPT-1	GPT-2	GPT-3
Parameters	117 Million	1.5 Billion	175 Billion
Decoder Layers	12	48	96
Context Token Size	512	1024	2048
Hidden Layer	768	1600	12288
Batch Size	64	512	3.2M

GPT-3 (July, 2020)

Model Name	n_{params}	n_{layers}	d_{model}	n_{heads}	d_{head}	Batch Size	Learning Rate
GPT-3 Small	125M	12	768	12	64	0.5M	6.0×10^{-4}
GPT-3 Medium	350M	24	1024	16	64	0.5M	3.0×10^{-4}
GPT-3 Large	760M	24	1536	16	96	0.5M	2.5×10^{-4}
GPT-3 XL	1.3B	24	2048	24	128	1M	2.0×10^{-4}
GPT-3 2.7B	2.7B	32	2560	32	80	1M	1.6×10^{-4}
GPT-3 6.7B	6.7B	32	4096	32	128	2M	1.2×10^{-4}
GPT-3 13B	13.0B	40	5140	40	128	2M	1.0×10^{-4}
GPT-3 175B or “GPT-3”	175.0B	96	12288	96	128	3.2M	0.6×10^{-4}

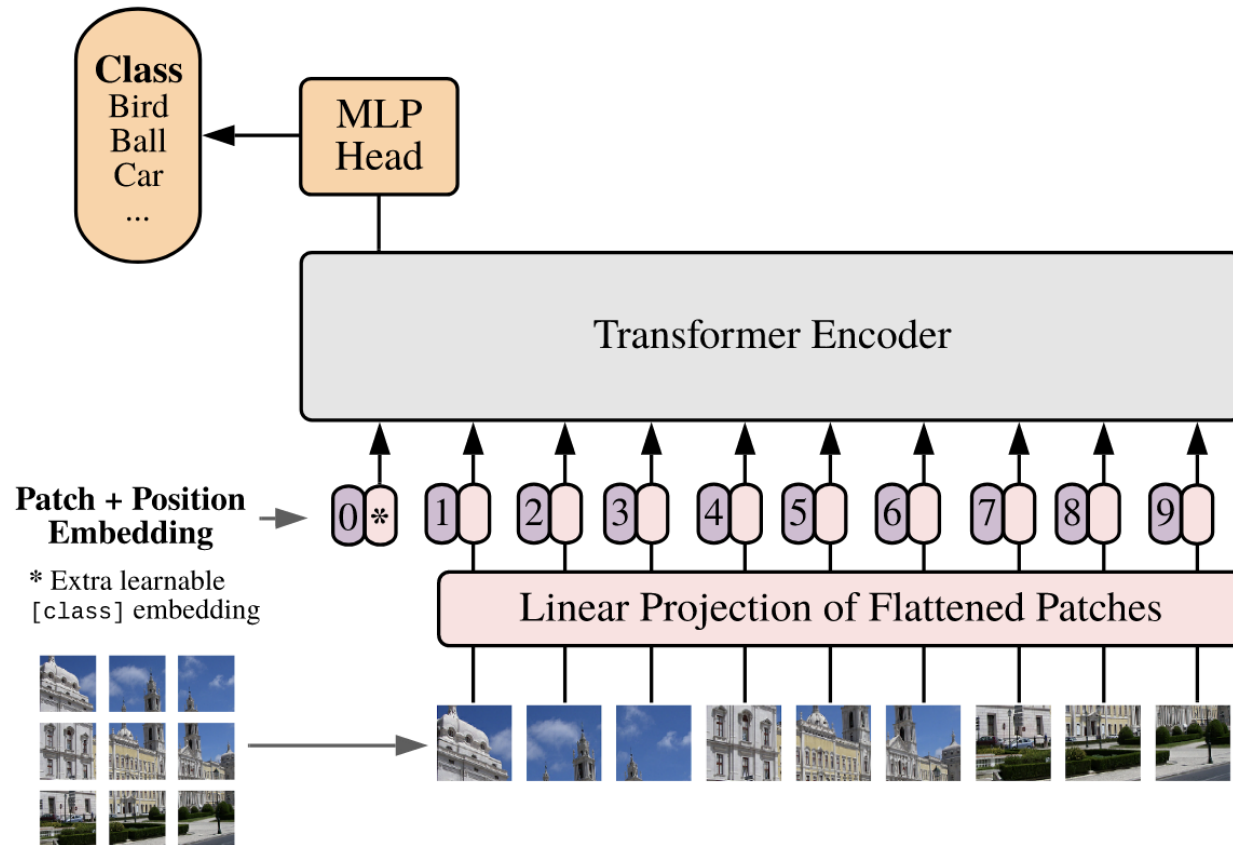
GPT family keeps growing

- GPT-3.5
- GPT-3.5-turbo
- GPT-4
- GPT-4-turbo
- GPT-4o
- o1, o3, o3-pro

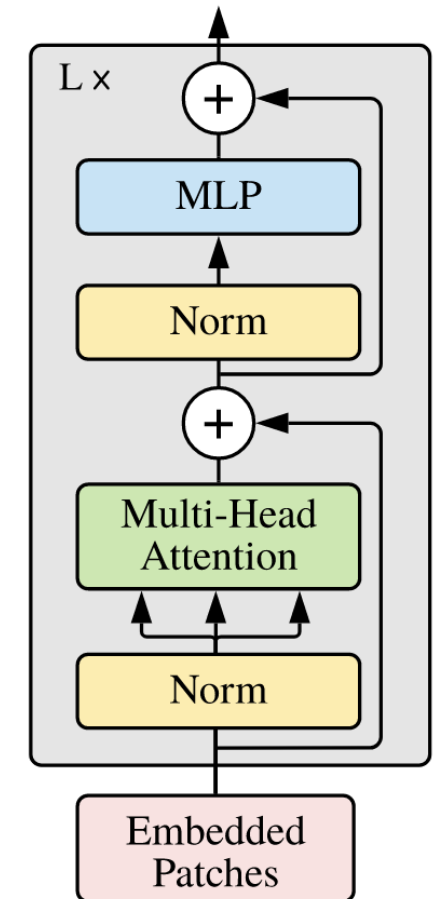
Competitors

- Gemini family (Gemini Pro) (Google)
- Mistral 7xMoE (Open Source by Mistral.ai)
- Llama-2, Llama-3 (Open Source by Meta AI)
- DeepSeek, DeepSeek-R1 (Open Source by DeepSeek Team)
- Claude3.5 Sonnet, Haiku, etc (Anthropic)
- Grok3 (Twitter/xAI)

Vision Transformers



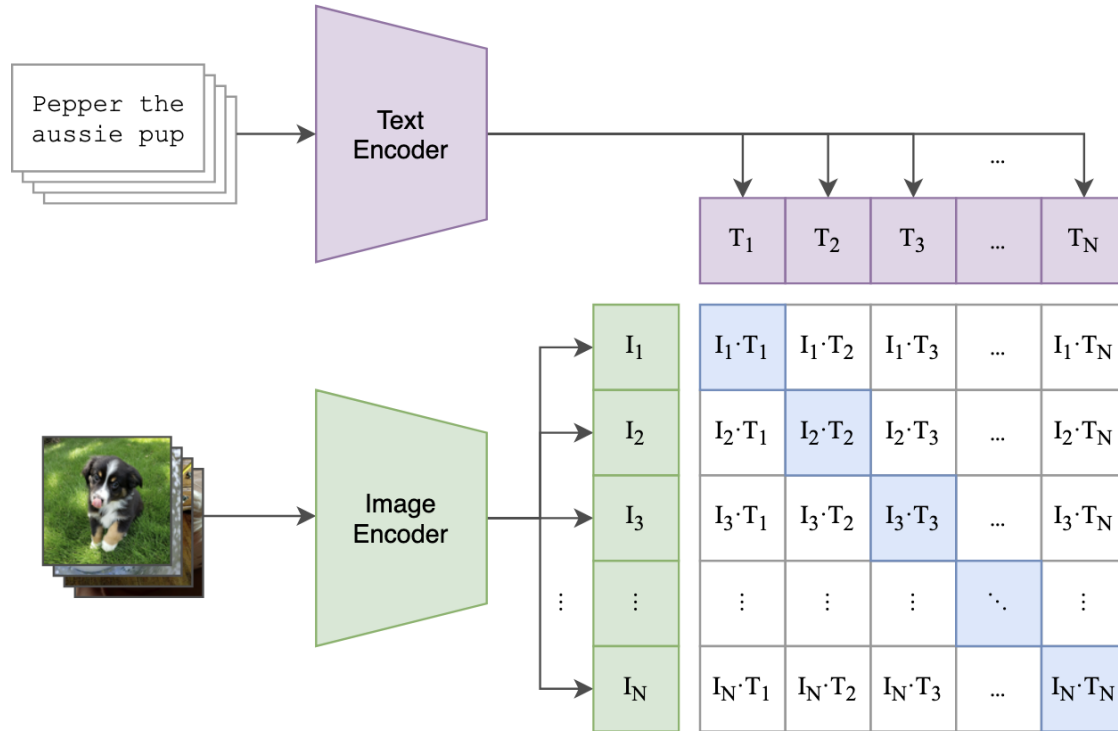
Transformer Encoder



<https://arxiv.org/abs/2010.11929>

An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale
[Alexey Dosovitskiy](#), [Lucas Beyer](#), [Alexander Kolesnikov](#), [Dirk Weissenborn](#), [Xiaohua Zhai](#), [Thomas Unterthiner](#), [Mostafa Dehghani](#), [Matthias Minderer](#), [Georg Heigold](#), [Sylvain Gelly](#), [Jakob Uszkoreit](#), [Neil Houlsby](#)

The CLIP Model



$$L = \sum_k \ell(I_k T_k)$$

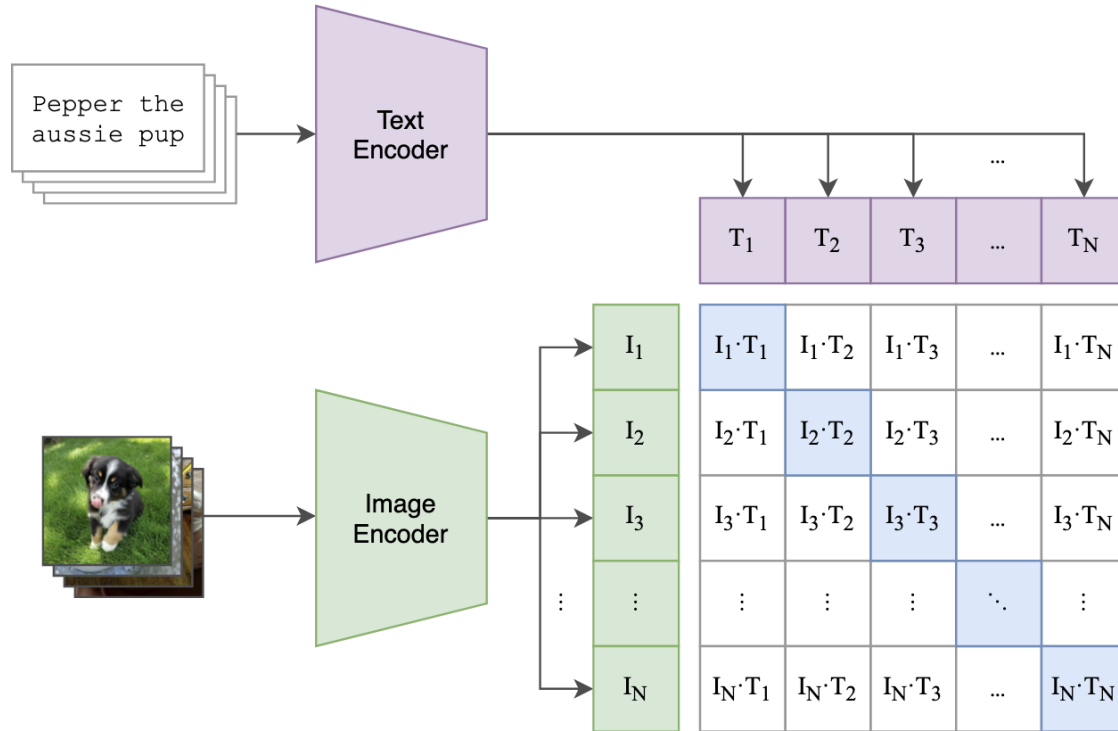
$$\ell(I_k T_k) = -\log \left(\frac{\exp(\text{sim}(I_k, T_k))}{\sum_{t=1}^{2N} 1[k \neq i] \exp(\text{sim}(I_k, T_t))} \right)$$

<https://arxiv.org/abs/2103.00020>

Learning Transferable Visual Models From Natural Language Supervision

[Alec Radford](#), [Jong Wook Kim](#), [Chris Hallacy](#), [Aditya Ramesh](#), [Gabriel Goh](#),
[Sandhini Agarwal](#), [Girish Sastry](#), [Amanda Askell](#), [Pamela Mishkin](#), [Jack Clark](#),
[Gretchen Krueger](#), [Ilya Sutskever](#)

The CLIP Model



$$L = \sum_k \ell_1(I_k T_k) + \ell_2(I_k T_k)$$

$$\ell_1(I_k T_k) = -\log \left(\frac{\exp(\text{sim}(I_k, T_k))}{\sum_{t=1}^{2N} 1[k \neq i] \exp(\text{sim}(I_k, T_t))} \right)$$

$$\ell_2(I_k T_k) = -\log \left(\frac{\exp(\text{sim}(I_k, T_k))}{\sum_{t=1}^{2N} 1[k \neq i] \exp(\text{sim}(I_t, T_k))} \right)$$

<https://arxiv.org/abs/2103.00020>

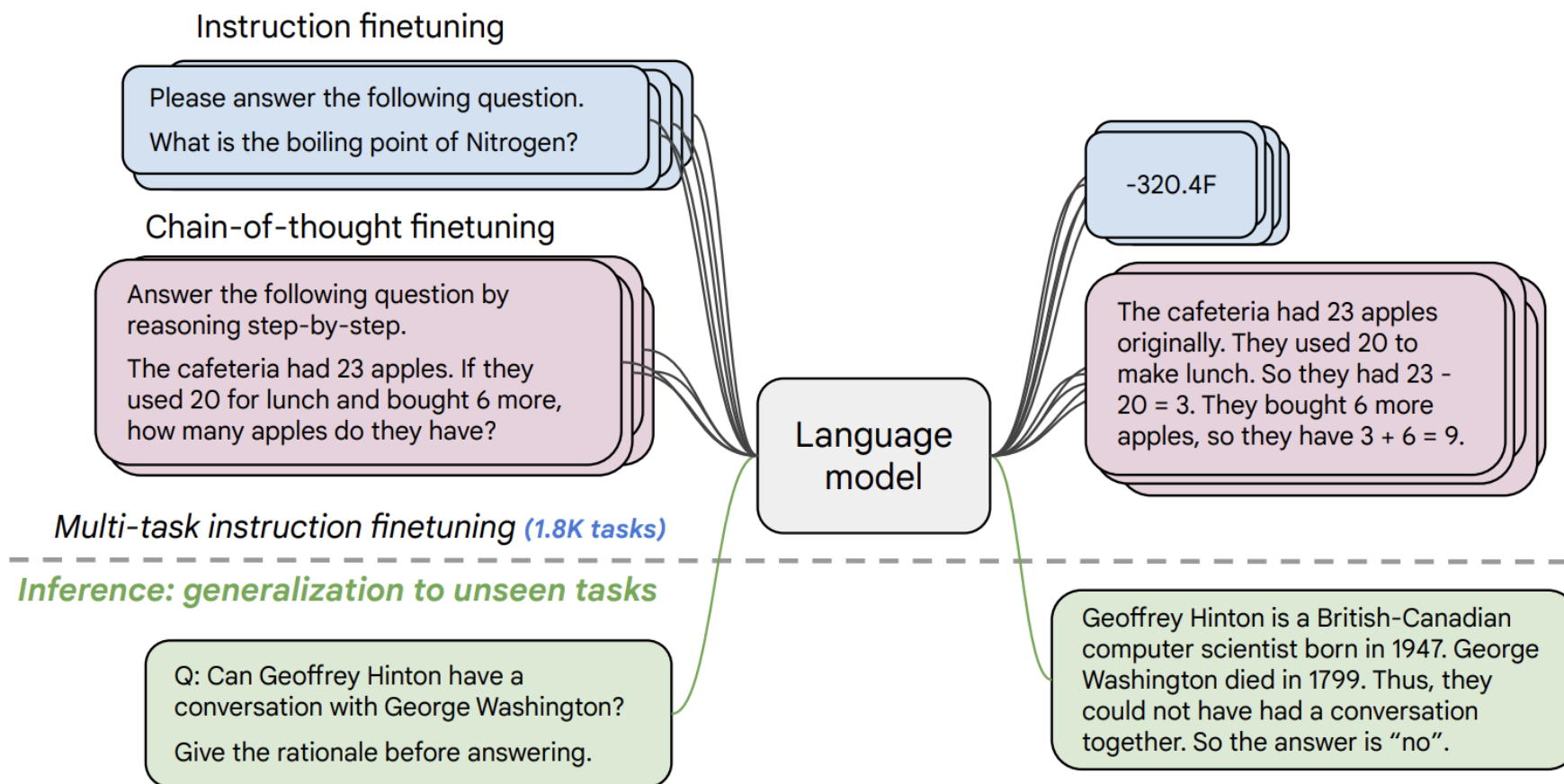
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[Gretchen Krueger](#), [Ilya Sutskever](#)

Next Work Prediction is limited

- Predicting the next word can lead to intelligent behavior such as the one exemplified earlier however this still limited
- What makes some of the new LLMs special? ChatGPT (GPT-3.5, 3.5 Turbo, 4, 4-turbo), FLAN-T5, OPT-IML
 - SFT: Supervised Finetuning (Curated Input/Output Instruction Sets)
 - DPO: Direct Preference Optimization
 - PPO: Proximal Policy Optimization (Reinforcement Learning with Human Feedback)
 - GRPO: Group-relative Policy Optimization (DeepSeek)

Instruction Tuning (e.g. FLAN-T5 by Google)



FLAN-T5

Model input (Disambiguation QA)

Q: In the following sentences, explain the antecedent of the pronoun (which thing the pronoun refers to), or state that it is ambiguous.

Sentence: The reporter and the chef will discuss their favorite dishes.

Options:

- (A) They will discuss the reporter's favorite dishes
- (B) They will discuss the chef's favorite dishes
- (C) Ambiguous

A: Let's think step by step.

Before instruction finetuning

The reporter and the chef will discuss their favorite dishes.

The reporter and the chef will discuss the reporter's favorite dishes.

The reporter and the chef will discuss the chef's favorite dishes.

The reporter and the chef will discuss the reporter's and the chef's favorite dishes.

✗ (doesn't answer question)

FLAN-T5

Model input (Disambiguation QA)

Q: In the following sentences, explain the antecedent of the pronoun (which thing the pronoun refers to), or state that it is ambiguous.

Sentence: The reporter and the chef will discuss their favorite dishes.

Options:

- (A) They will discuss the reporter's favorite dishes
- (B) They will discuss the chef's favorite dishes
- (C) Ambiguous

A: Let's think step by step.

After instruction finetuning

The reporter and the chef will discuss their favorite dishes does not indicate whose favorite dishes they will discuss. So, the answer is (C). ✓

Questions?