#### ORIGINAL ARTICLE

## RNA Identification of PRIME Cells Predicting Rheumatoid Arthritis Flares

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## ABSTRACT

#### BACKGROUND

From the Laboratory of Molecular Neurooncology, Rockefeller University (D.E.O., K.S., J.F., M.O.F., S.P., N.E.B., C.H., R.B.D.), the Hospital for Special Surgery (D.E.O.), and the Simons Foundation (O.G.T.) - all in New York; Rice University, Houston (V.Y.); Princeton University, Princeton, NJ (V.Y., O.G.T.); Howard Hughes Medical Institute, Chevy Chase, MD (N.E.B., R.B.D.); and the Divisions of Rheumatology and Genetics, Brigham and Women's Hospital, Harvard Medical School, Boston, and the Broad Institute, Cambridge — both in Massachusetts (F.Z., S.R.). Address reprint requests to Dr. Orange at Rockefeller University, Hospital for Special Surgery, 1230 York Ave., New York, NY 10075, or at dorange@ rockefeller.edu, or to Dr. Darnell at Rockefeller University, 1230 York Ave., New York, NY 10075, or at darnelr@rockefeller.edu.

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N Engl J Med 2020;383:218-28. DOI: 10.1056/NEJM0a2004114 Copyright © 2020 Massachusetts Medical Society. Rheumatoid arthritis, like many inflammatory diseases, is characterized by episodes of quiescence and exacerbation (flares). The molecular events leading to flares are unknown.

## METHODS

We established a clinical and technical protocol for repeated home collection of blood in patients with rheumatoid arthritis to allow for longitudinal RNA sequencing (RNA-seq). Specimens were obtained from 364 time points during eight flares over a period of 4 years in our index patient, as well as from 235 time points during flares in three additional patients. We identified transcripts that were differentially expressed before flares and compared these with data from synovial single-cell RNA-seq. Flow cytometry and sorted-blood-cell RNA-seq in additional patients were used to validate the findings.

#### RESULTS

Consistent changes were observed in blood transcriptional profiles 1 to 2 weeks before a rheumatoid arthritis flare. B-cell activation was followed by expansion of circulating CD45–CD31–PDPN+ preinflammatory mesenchymal, or PRIME, cells in the blood from patients with rheumatoid arthritis; these cells shared features of inflammatory synovial fibroblasts. Levels of circulating PRIME cells decreased during flares in all 4 patients, and flow cytometry and sorted-cell RNA-seq confirmed the presence of PRIME cells in 19 additional patients with rheumatoid arthritis.

#### CONCLUSIONS

Longitudinal genomic analysis of rheumatoid arthritis flares revealed PRIME cells in the blood during the period before a flare and suggested a model in which these cells become activated by B cells in the weeks before a flare and subsequently migrate out of the blood into the synovium. (Funded by the National Institutes of Health and others.) Rheumatoid Arthritis symptoms are highly dynamic, with periods of stability interrupted by unpredictable flares of disease activity. Such waxing and waning clinical courses are characteristic of many autoimmune diseases, including multiple sclerosis,<sup>1</sup> systemic lupus erythematosus,<sup>2</sup> and inflammatory bowel disease,<sup>3,4</sup> underscoring a need to develop approaches to understand the factors that trigger transitions from quiescence to autoimmune flare.

Microarray studies of blood specimens from sparse time-series data have identified few genes that are significantly associated with rheumatoid arthritis activity.<sup>5-8</sup> To look for molecular changes in blood that anticipate clinical flares, we sought to optimize methods by which the patients themselves could obtain fingerstick blood specimens for RNA sequencing (RNA-seq), facilitating weekly blood sampling for periods of months to years.

We explored the pathophysiology of rheumatoid arthritis using longitudinal, prospective analysis of blood transcriptional profiles from individual patients over time. We analyzed patient reports of clinical disease activity and RNA-seq data from patients across multiple clinical flares. Collecting samples longitudinally enabled a search for transcriptional signatures that preceded clinical symptoms, and comparison of these blood RNA profiles with data from synovial single-cell RNA-seq (scRNA-seq)<sup>9</sup> was used to determine whether biologically coherent sets of transcripts were identifiable in the blood before symptom onset and as patients began to have symptoms.

## METHODS

## PATIENTS

We enrolled patients who met American College of Rheumatology–European League Against Rheumatism 2010<sup>10,11</sup> criteria for having rheumatoid arthritis and who were seropositive for cyclized citrullinated protein antibody (CCP). Disease activity was assessed from home each week, or up to four times daily during escalation of flares, with the Routine Assessment of Patient Index Data 3 (RAPID3) questionnaire.<sup>12</sup> Disease activity was also assessed at clinic visits, each month, and during flares with the use of both RAPID3 and the Disease Activity Score 28 (DAS28), which incorporates tenderness and swelling from 28 joints, erythrocyte sedimentation rate, and patient global assessment of disease activity. Complete blood counts, including counts of white cells, neutrophils, monocytes, lymphocytes, and platelets, were assessed by the Memorial Sloan Kettering Cancer Center clinical laboratory. We also used fluorescence-activated cell sorting to assess peripheral blood mononuclear cells (PBMCs) from 19 additional seropositive patients with rheumatoid arthritis (irrespective of disease activity) and 18 age- and sex-matched participants without rheumatoid arthritis.

The study was approved by the institutional review board of Rockefeller University. All the patients in the study provided written informed consent before participation. The authors vouch for the accuracy and completeness of the data presented in this report.

## RNA PREPARATION FROM FINGERSTICK BLOOD

Patients performed fingerstick collection of three drops of blood at home; the blood was placed into a microtainer tube prefilled with fixative, and the specimens were mailed overnight each week to Rockefeller University. RNA was extracted with the PAXgene RNA kit and was purified in accordance with the manufacturer's protocols, with the exception of the volume of all washes and elutions being decreased to 25% of the volume recommended by the manufacturer. RNA was assessed with the Agilent BioAnalyzer for quantity and quality. For library preparation, we used the Globin-Zero kit (EpiCentre) and the Illumina Truseq mRNA Stranded Library kit, with 11 to 12 polymerase-chain-reaction (PCR) cycles for 5 to 8 nmol per liter input, and sequencing was performed on a HiSeq2500 system (Illumina) with 150-base paired-end reads. Reads were aligned to the human reference genome (hg19) with Gencode, version 18, as the reference gene annotation with the use of STAR software, version 2.3.1z,13 and were quantified with featureCounts software, version 1.5.0-p2.14 Samples with at least 4 million paired-end reads were retained for analysis.

## COMPARISON OF DISEASE-ACTIVITY MEASURES

To describe the bivariate relationship between clinical assessments of disease activity and disease activity as assessed with RAPID3, we used the locally weighted scatterplot smoothing (LOWESS) technique. R<sup>2</sup> values were calculated to assess correlations of complete blood counts inferred from CIBERSORTx and counts measured by clinical laboratories. Inferred CIBERSORTx lymphocyte counts were calculated as the sum of the counts of naive B cells, memory B cells, CD8 T cells, naive CD4 T cells, resting memory CD4 T cells, and activated memory CD4 T cells. The counts of monocytes, M0 macrophages, M1 macrophages, and M2 macrophages were summed to infer CIBERSORTx monocyte counts. One-way analysis of variance was used to test for significant differences among various clinical features according to disease-activity state.

# ANALYSES OF DIFFERENTIAL EXPRESSION AMONG PATIENTS

Before gene-expression analysis, specimens were labeled as baseline (i.e., obtained during a period of stable RAPID3 scores), flare (obtained during a period in which the RAPID3 score was >2 SD above the baseline mean), or glucocorticoid (obtained during a period when the patient was taking glucocorticoids). We used edgeR software, version 3.24.3,15 to analyze differential gene expression in flare specimens as compared with baseline specimens. Permutation testing (1×10<sup>6</sup> samples) was used to test for significance in the overlap between the groups of genes that had decreased expression during flares in each of the four patients. Analysis of functional groups of genes, as defined by the Gene Ontology Consortium (with goana, from the limma software package, version 3.38.3),16 was used to identify enriched pathways among the genes that had significant differential expression during a flare relative to baseline in the index patient (those with a false discovery rate of <0.1) and that were consistent in the direction of expression in both the index patient and the three additional patients with rheumatoid arthritis who were included in the longitudinal study (i.e., log, relative expression [flare:baseline ratio] either both positive or both negative).

## TIME-SERIES ANALYSIS OF GENE EXPRESSION IN THE INDEX PATIENT

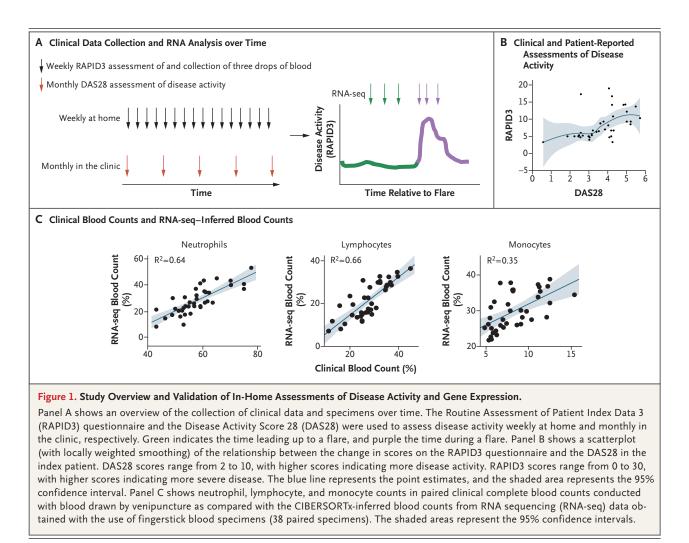
We performed an analysis of longitudinal data from the index patient, using ImpulseDE2 software, version 1.8.0<sup>17</sup> (see the Methods section in Supplementary Appendix 1, available with the full text of this article at NEJM.org). Specimens from 8 weeks before a flare to up to 4 weeks after the start of a flare were analyzed (excluding 65 specimens obtained while the patient was taking glucocorticoids). The date of library preparation was included in the model for batch correction, and the genefilter software package, version 1.64.0,<sup>18</sup> was used to filter out genes with low levels of expression.

## IDENTIFICATION AND CHARACTERIZATION OF COEXPRESSED GENE CLUSTERS

We hierarchically clustered the mean expression of significantly differentially expressed genes that were identified in the ImpulseDE2 analysis according to week relative to flare initiation (batch-corrected expression values [expressed as log, reads per kilobase million] were calculated with edgeR) and identified five coexpressed gene clusters (clusters 1 through 5). We analyzed these five gene clusters for Gene Ontology term enrichment (with the use of goana). To further characterize expression patterns in gene clusters over time, for each cluster the mean expression level for each gene was calculated across flares per week, then normalized across weeks. ABIS<sup>19</sup> and CIBERSORTx<sup>20</sup> were used to deconvolute gene-expression data. To aggregate a given gene cluster or cell type with gene markers, the mean of standardized gene-expression scores or deconvoluted cell-type scores, respectively, within each week was plotted. To identify synovial scRNA-seq cluster-specific marker-gene signatures, we used a previously published data set<sup>20</sup> to compare the cells from one scRNA-seq cluster with cells from all the other scRNA-seq clusters, using the scRNA-seq  $\log_2(\text{counts per million}+1)$ matrix. We generated lists of the top 200 marker genes for each scRNA-seq cluster using the criteria of a log, expression ratio greater than 1 (relative to other scRNA-seq clusters), an area under the curve greater than 0.6, and a percentage of expressing cells greater than 40%. We used Fisher's exact test to evaluate enrichment of synovial cell subtype marker genes in the five coexpressed gene clusters. P values were corrected for multiple hypothesis testing with the use of the Benjamini-Hochberg procedure.

## FLOW CYTOMETRY AND SORTING

To assess percentages of cells of interest among PBMCs, specimens were stained with antibodies to CD31-APC (clone WM59), mouse IgG1-APC



(MOPC-21), PDPN-PerCP (NZ1.3), rat IgG2a (eBR2a)-PerCP, CD45-PE (HI30), mouse IgG1-PE (MOPC-21), and TO-PRO-3 and analyzed on the BD FACSCalibur cell sorting and analysis platform with the use of FlowJo, version 10.6.1 (BD). To flow sort and sequence cells of interest, 20 million to 100 million cells from CD14-depleted products of leukapheresis were stained with CD31-APC (WM59), mouse IgG1-APC (MOPC-21), PDPN-PerCP (NZ1.3), rat IgG2a-PerCP (eBR2a), CD45-FITC (HI30), mouse IgG1-FITC (MOPC-21), and DAPI (4',6-diamidino-2-phenylindole, dihydrochloride) and sorted on BD FACSAriaII. The Illumina Stranded TruSeq library kit was used to generate complementary DNA libraries that were sequenced with the MiSeq sequencing platform (Illumina). DESeq2 software, version 1.24.0,<sup>21</sup> was used for differential expression analysis.

#### RESULTS

#### CLINICAL PROTOCOL DEVELOPMENT

We developed strategies for home blood collection that would provide high-quality RNA in large quantities for sequencing (15 to 50 ng of RNA; mean [±SD] RNA integrity score, 6.9±1.7 [scores range from 1 to 10, with higher scores indicating less degradation and higher integrity]) (Figs. S1 through S7 in Supplementary Appendix 1; note that all supplementary figures and tables are located in Supplementary Appendix 1). The study patients also documented disease activity with the RAPID3 questionnaire. Four patients (Table S1) were followed for 1 to 4 years with weekly home collection of fingerstick blood specimens coupled with completion of RAPID3 questionnaires and monthly clinic visits, during which DAS28 data were collected (Fig. 1A). RNA was sequenced from a total of 189 fingerstick blood specimens from the four patients; 162 (87%) of the specimens passed quality-control filtering. In the index patient, we assessed 364 time points by RAPID3 during eight flares over a period of 4 years and analyzed specimens from 84 time points by RNA-seq. We collected data on disease activity from 43 clinic visits from the index patient and from 25, 14, and 12 clinic visits for the other three patients who were included in the longitudinal study.

Patient-reported assessments of disease activity (with RAPID3) were positively correlated with clinician assessments of disease activity (with DAS28) (Fig. 1B and Fig. S8). RNA-seq-inferred white-cell counts were also positively correlated with clinical laboratory measurements of complete blood counts (Fig. 1C), which suggested that RNA-seq of fingerstick blood was of sufficient quality to provide information that correlated with criterion-standard clinical measurements of blood counts. Taken together, these data indicate that patient reports of disease activity paired with analysis of fingerstick blood specimens may provide a high-quality and robust means by which patients can participate in longitudinal clinical research studies.

## CLINICAL AND MOLECULAR FEATURES OF FLARES

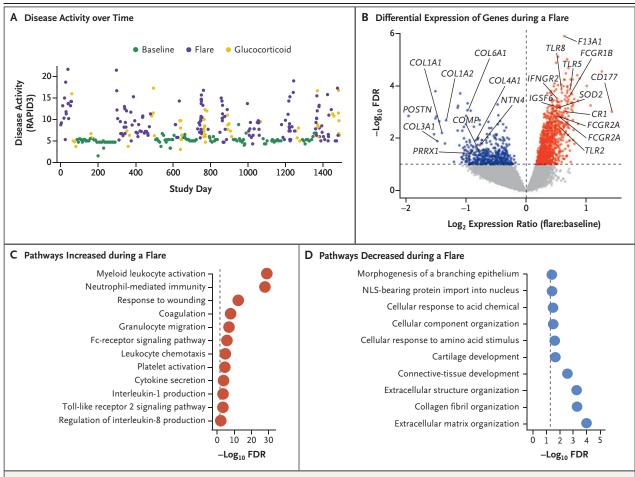
Flares were associated with increases in objective clinical and laboratory measures of rheumatoid arthritis disease activity in the index patient (Fig. 2A and Fig. S9). RNA-seq analysis of fingerstick blood specimens identified 2613 genes that were differentially expressed at the time of a flare as compared with baseline (false discovery rate, <0.1); the expression of 1437 of these genes was increased during a flare (Fig. 2B and Supplementary Appendix 2). Pathway analysis identified enrichment in myeloid, neutrophil, Fc receptor-signaling, and plateletactivation genes (Fig. 2C and Supplementary Appendix 3), findings consistent with clinical blood-count measurements during flares (Fig. S9). The expression of 1176 genes was significantly decreased during flares, and pathway analysis of these genes indicated enrichment for extracellular matrix, collagen, and connectivetissue development (Fig. 2D and Supplementary Appendix 3).

## TIME-SERIES ANALYSIS OF MOLECULAR EVENTS LEADING TO FLARES

To analyze the trajectories of gene expression over time and identify potential antecedents to flares, we performed time-series analysis of the RNA-seq data (Fig. 3A). Disease-activity scores in the weeks just before a flare were the same as the baseline scores 2 months before a flare, underscoring the challenges of identifying both a time frame and a gene-expression signature that precede a flare. We focused the analysis on 65 specimens that were acquired 8 weeks before a flare and 4 weeks after flare initiation, binning samples according to the week in which they were drawn. With this method, we identified 2791 genes with significant differential expression over the time leading up to and during a flare (false discovery rate, <0.05), and with hierarchical clustering of gene expression we identified five clusters (Fig. 3B and Supplementary Appendix 4). Cluster 1 represented a group of genes that had increased expression after symptom onset (Fig. 3C and 3D) and had a high degree of overlap (Fig. 3E) with genes that had higher expression during flares than at baseline (Fig. 2B). These gene-expression clusters were reproducibly altered in five separate clinical flare events (Fig. S10).

We further focused on two clusters of genes that were differentially expressed before a flare (Fig. 3C and 3D). Antecedent cluster 2 (AC2) transcripts increased 2 weeks before a flare and were enriched with developmental pathways for naive B cells and leukocytes. Two additional means of deconvoluting the RNA-seq data the CIBERSORTx and ABIS software packages — independently confirmed evidence of increases in naive B-cell populations before a flare, and all analyses showed evidence of innate inflammatory signatures (neutrophils and monocytes) during a flare (Figs. S11 and S12).

Antecedent cluster 3 (AC3) transcripts increased during the week before a flare and then decreased for the duration of the flare (Fig. 3C and 3D). AC3 was enriched for pathways that were not typical of blood specimens, including cartilage morphogenesis, endochondral bone growth, and extracellular matrix organization (Fig. 3E and Supplementary Appendix 5), suggesting the presence of a mesenchymal cell.

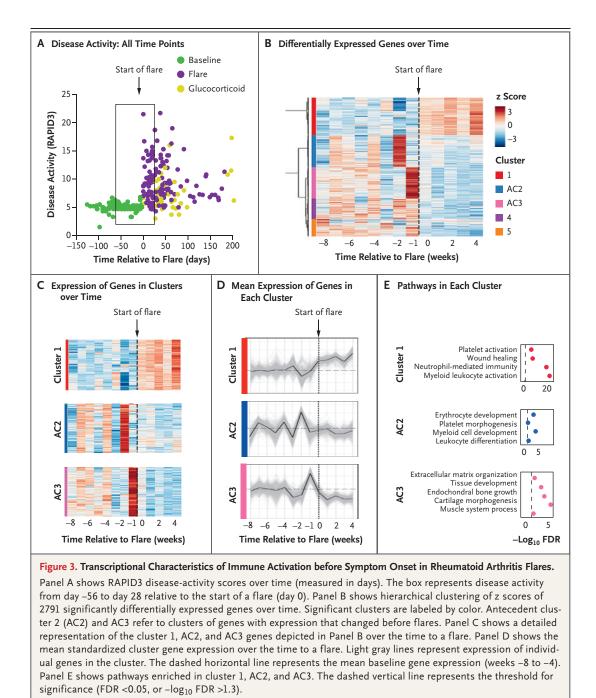


## Figure 2. Clinical and Transcriptional Characteristics of Rheumatoid Arthritis Flares in the Index Patient.

Panel A shows disease activity (measured with the RAPID3 questionnaire; 356 scores included in the analysis) over the course of 4 years in the index patient. Panel B shows a volcano plot of differential gene expression during flares (46 specimens) and during baseline (33 specimens), with significance  $(-\log_{10} false discovery rate [FDR])$  plotted against the  $\log_2$  relative expression (flare:baseline ratio). Gray points indicate genes with no significant difference in expression between flares and baseline (with FDR >0.1), red indicates genes with significantly increased expression during a flare (FDR <0.1 and  $\log_2$  expression ratio >0), and blue indicates genes with significantly decreased expression during a flare (FDR <0.1 and  $\log_2$  expression ratio <0). Panels C and D show pathways enriched among genes with significantly increased (Panel C) or decreased (Panel D) expression during a flare relative to baseline. The dashed line represents the threshold for significance (FDR <0.05, or  $-\log_{10}$  FDR >1.3). NLS denotes nuclear localization signal.

# TIME-SERIES ANALYSIS OF SYNOVIAL CELL MARKER GENES IN FLARES

To better characterize the clusters identified by the time-series analysis of fingerstick blood and their relevance to synovitis (Fig. 3C), we examined them for enrichment in synovial cell subtypes characterized by scRNA-seq. This analysis of 5265 single synovial cells from patients with rheumatoid arthritis and patients with osteoarthritis identified four fibroblast, four B-cell, six T-cell, and four monocyte subpopulations (Fig. 4A). We identified approximately 200 marker genes that best distinguished each of 18 synovial cell types. AC2 was enriched with naive B-cell genes (Fig. 4A and Fig. S12), and AC3 was enriched with three sublining fibroblast genes (CD34+, HLA-DR+, and DKK3+) (Fig. 4A). Two of these fibroblast subsets, CD34+ and HLA-DR+, are more abundant in inflamed synovium than in uninflamed synovium.<sup>22</sup> We plotted the expression of those transcripts that were common to both synovial sublining fibroblasts and AC3 over time; again, we noted their increased expression in blood 1 week before a flare and de-

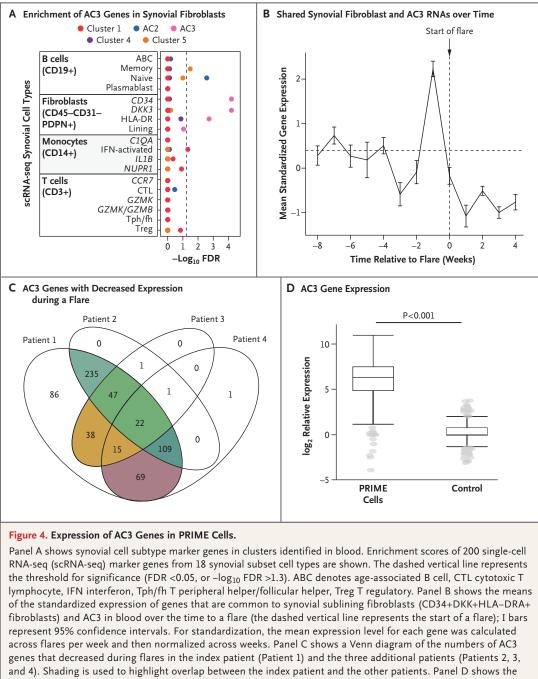


creased expression during a flare (Fig. 4B, Fig. S13, and Supplementary Appendix 6).

Overall, 622 of 625 AC3 genes decreased in expression during a flare in the index patient, and a subset (194 genes) also decreased in expression during flares in at least three of four patients (22 genes decreased in expression in all four patients) (Fig. 4C and Supplementary Appen- surface markers of synovial fibroblasts were

dix 7); a permutation test indicated that this overlap was greater than would have been expected by chance (P<0.001). Pathway analysis of the subset of 194 overlapping genes again showed that it was enriched for genes associated with extracellular matrix and secreted glycoprotein.

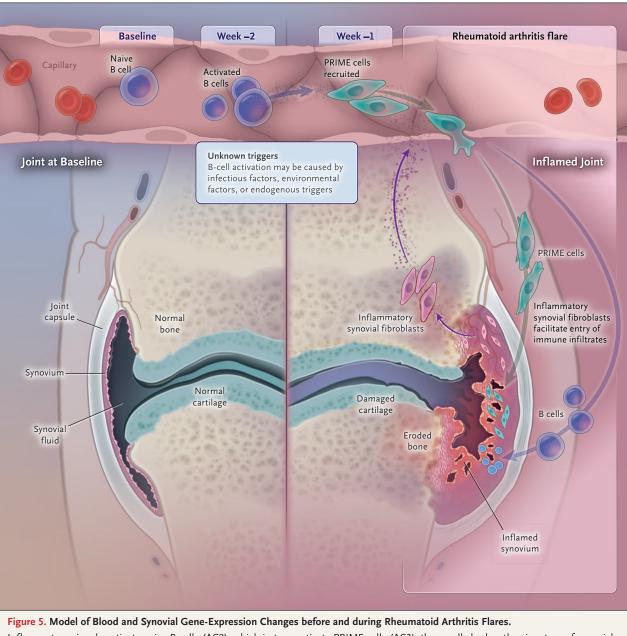
We further tested whether cells that expressed



log<sub>2</sub> relative expression ratio for AC3 genes in PRIME cells (flow-sorted CD45-CD31-PDPN+ cells) as compared with hematopoietic cells (flow-sorted CD45+) and in stained peripheral blood mononuclear cells (not flow sorted) as compared with hematopoietic cells (flow-sorted CD45+) as a technical control for the stress of flow sorting. The box-and-whisker plots indicate the median, interquartile range, and 1.5 times the interquartile range; the P value is from a Mann-Whitney U test.

tients with rheumatoid arthritis. CD45-CD31- than in blood from healthy controls (Figs. S14 PDPN+ cells were more common in blood from and S15). RNA-seq of CD45-CD31-PDPN+ cells

detectable by flow cytometry in blood from pa- 19 additional patients with rheumatoid arthritis



Inflammatory signals activate naive B cells (AC2), which in turn activate PRIME cells (AC3); these cells harbor the signature of synovial sublining fibroblast genes. According to this model, PRIME cells demarginate and are increased in blood before a flare and then decrease just after symptom onset; these cells or their progeny are increased in inflammatory synovium in patients with rheumatoid arthritis, where they contribute to and may be sufficient to cause joint inflammation.

> sorted by fluorescence-activated cell sorting confirmed that they were enriched for AC3 cluster genes (Fig. 4D) and for synovial fibroblast genes and expressed classical synovial fibroblast genes such as FAP, DKK3, CDH11, as well as collagens and laminins (Figs. S16 through S18 and Table B cells activates PRIME cells just before flares;

S2). Given their expression of classical mesenchymal surface markers and genes, we refer to these cells as preinflammatory mesenchymal cells, or PRIME cells. Taken together, our observations suggest a model in which sequential activation of these cells are then evident during flares in inflamed synovium as inflammatory sublining fibroblasts (Fig. 5).

## DISCUSSION

We present longitudinal genomics as a strategy to study the antecedents to rheumatoid arthritis flares that may be generalizable to autoimmune diseases associated with waxing and waning clinical courses. We developed tools for patients to acquire both data on clinical symptoms and molecular data at home over the course of many years. This allowed us to capture data before the onset of flares and identify different RNA signatures (AC2 and AC3) evident in peripheral blood 1 to 2 weeks before a flare.

The RNA signature of AC3 and sorted CD45– CD31–PDPN+ circulating cells revealed enrichment for pathways including cartilage morphogenesis, endochondral bone growth, and extracellular matrix organization (Fig. 3E) and strongly overlapped with the RNA signatures of synovial sublining fibroblasts. We therefore propose that antecedent PRIME cells are the precursors to inflammatory sublining fibroblasts that have previously been found adjacent to blood vessels in inflamed synovium in patients with rheumatoid arthritis.<sup>23</sup>

Inflamed sublining fibroblasts have been shown to be pathogenic in an animal model of arthritis.<sup>24</sup> Our finding that AC3 genes in humans share molecular characteristics of sublining fibroblasts, together with the observation that these cells spike before a flare but are less detectable in blood during a flare (Figs. 2 and 4) support a model in which PRIME cells immigrate from blood to the synovium, where they contribute to the inflammatory process (Fig. 5). This model is consistent with the observation that in rheumatoid arthritis, synovial fibroblasts can traffic to cartilage implants and are sufficient to passively transfer synovial inflammation in mice.<sup>25</sup> Together, our data suggest the mesenchymal signal detected in AC3 before flares represents a previously uncharacterized type of trafficking fibroblast that circulates in blood.

In addition, we observed a second RNA signature, AC2, that was activated in blood before the spike in AC3. AC2 bears RNA hallmarks of naive B cells. This finding is reminiscent of those in recent studies showing that autoreactive naive B cells are activated in patients with rheumatoid arthritis.<sup>26</sup> Although the triggers of this B-cell activation are unknown, infectious factors (e.g., bacterial or viral antigens), environmental factors, or endogenous toxins<sup>27-29</sup> could either provide a source of specific antigens or activate pattern-recognition receptors.

We developed methods for collecting frequent (weekly) longitudinal clinical and gene-expression data that can be used to identify changes in transcriptional profiles in blood weeks before the onset of symptoms in patients with rheumatoid arthritis. This approach led to the identification of PRIME cells, which bear hallmarks of synovial fibroblasts, are more common in patients with rheumatoid arthritis than in healthy controls, and increase in blood just before flares. We suggest that before a clinical flare, B-cell immune activation (detected as AC2) acts on PRIME cells, which traffic to the blood (detected as AC3) and subsequently to the synovial sublining during flares of disease activity. More generally, this study of rheumatoid arthritis provides an example of an approach to the study of waxing and waning inflammatory diseases.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

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